





# Local abelian Kato-Parshin reciprocity law: A survey

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*-In memory of Seydin Serbest-*

## Abstract

Let  $K$  denote an  $n$ -dimensional local field. The aim of this expository paper is to survey the basic arithmetic theory of the  $n$ -dimensional local field  $K$  together with its Milnor  $K$ -theory and Parshin topological  $K$ -theory; to review Kato's ramification theory for finite abelian extensions of the  $n$ -dimensional local field  $K$ , and to state the local abelian higher-dimensional  $K$ -theoretic generalization of local abelian class field theory of Hasse, which is developed by Kato and Parshin. The paper is geared toward non-abelian generalization of this theory.

**Mathematics Subject Classification (2020).** 11S70, 19F05

**Keywords.** higher-dimensional local fields, Milnor  $K$ -theory, local class field theory

## 1. Introduction

The aim of this paper is to survey the local abelian higher-dimensional  $K$ -theoretic generalization of the local abelian class field theory of Hasse [16] developed by Parshin (in positive characteristic) [42, 44, 45] and by Kato (in general) [22, 23, 25] in the late 1970s and early 80's; namely, the local abelian Kato-Parshin class field theory, which has later been simplified, made explicit, and cohomology free by Fesenko [7–9].

For a field  $K$ , let  $K^{\text{ab}}$  denote the maximal abelian extension of  $K$  in a fixed separable closure  $K^{\text{sep}}$  of  $K$ . Then the maximal abelian Hausdorff quotient  $G_K^{\text{ab}}$  of the absolute Galois group  $G_K = \text{Gal}(K^{\text{sep}}/K)$  is naturally isomorphic to  $\text{Gal}(K^{\text{ab}}/K)$ . In particular, if  $K$  is a non-archimedean local field; i.e., a complete discrete valuation field with finite residue-class field  $\kappa_K = O_K/\mathfrak{p}_K$  of  $q = p^f$  elements, where  $O_K$  denotes the ring of integers of  $K$ ,  $\mathfrak{p}_K$  its unique maximal ideal, and  $p$  a prime number; that is, if  $K$  is either a finite extension of  $\mathbb{Q}_p$  in case  $\text{char}(K) = 0$ , or a finite extension of  $\mathbb{F}_p((X))$  in case  $\text{char}(K) = p > 0$ , then

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Received: 01.12.2020; Accepted: 27.03.2021

$\text{Gal}(K^{\text{ab}}/K)$  and the profinite completion  $\widehat{K^\times}$  of the multiplicative group  $K^\times$  of the non-archimedean local field  $K$  are both algebraically and topologically isomorphic via local abelian Hasse reciprocity law

$$\text{Rec}_K : \widehat{K^\times} \xrightarrow{\sim} \text{Gal}(K^{\text{ab}}/K)$$

of  $K$ . This isomorphism has many salient features. For instance, via this arrow,  $\widehat{K^\times}$  encodes all of the arithmetic information on the abelian extensions of the non-archimedean local field  $K$ , which is the subject matter of local abelian class field theory of  $K$ . A detailed exposition of local fields and local abelian class field theory in modern terms can be found in [15, 21].

Now, let  $F$  be a global field; that is,  $F$  is either a finite extension of  $\mathbb{Q}$  in case  $\text{char}(F) = 0$ , or a finite extension of  $\mathbb{F}_p(X)$  in case  $\text{char}(F) = p > 0$ . The completion  $F_\nu$  of  $F$  with respect to a finite place  $\nu$  of  $F$  is a non-archimedean local field. Following the “idèlic philosophy” of Chevalley, global class field theory of  $F$  can be constructed by glueing the local abelian class field theories of  $F_\nu$  for all  $\nu$  [2]. In recent years however, the arithmetic study of global fields extended its scope and instead of considering only global fields; that is, integral schemes  $X$  of absolute dimension 1, higher-dimensional integral schemes  $X$  are taken into consideration. In this setting, let  $F$  denote the field of rational functions on an integral scheme  $X$  of absolute dimension  $n$ . Then to any flag of irreducible non-singular subschemes  $X_0 \subset X_1 \subset \cdots \subset X_n = X$  of  $X$  with  $\dim(X_i) = i$  for  $i = 0, 1, \dots, n$ , Parshin introduced a completion  $F_{(X_0, \dots, X_n)}$  of  $F$ , which is an example of an  $n$ -dimensional local field. Recall that, an  $n$ -dimensional local field has an inductive definition: for  $n \geq 1$ , an  $n$ -dimensional local field is a complete discrete valuation field whose residue field is an  $(n - 1)$ -dimensional local field, where in this terminology 0-dimensional local fields are finite fields and 1-dimensional local fields are the “classical” non-archimedean local fields. The collection of such  $n$ -dimensional local fields  $F_{(X_0, \dots, X_n)}$  over all possible flags  $(X_0, \dots, X_n)$  of the scheme  $X$  plays a central role in the global class field theory of the scheme  $X$ , a grand theory again created by Parshin<sup>†</sup>, Bloch<sup>‡</sup>, Kato and S. Saito<sup>§</sup>, which is constructed, following the “higher-dimensional idèlic philosophy” of Beilinson and Parshin [18], by glueing the local abelian  $n$ -dimensional class field theories of  $F_{(X_0, \dots, X_n)}$  for all  $(X_0, \dots, X_n)$ .

The aim of this work is to survey the local abelian  $n$ -dimensional class field theory; namely, the study of arithmetic information on the abelian extensions of an  $n$ -dimensional local field  $K$  encoded in the local abelian  $n$ -dimensional reciprocity law

$$\text{Rec}_K : \widehat{K}_n^{\text{top}}(K) \xrightarrow{\sim} \text{Gal}(K^{\text{ab}}/K)$$

of the  $n$ -dimensional local field  $K$ , where  $\widehat{K}_n^{\text{top}}(K)$  is the profinite completion of the  $n$ -th Parshin topological  $K$ -group  $K_n^{\text{top}}(K)$  of  $K$ , which is an algebraic, analytic and topological object depending only and solely to the ground field  $K$ . Moreover, in the particular case  $n = 1$ , this arrow reduces to the ordinary local abelian Hasse reciprocity law of  $K$ . In the local abelian  $n$ -dimensional theory:

- Non-archimedean local fields  $K$  are replaced by  $n$ -dimensional local fields  $K$

non-archimedean local fields  $K \rightsquigarrow n$ -dimensional local fields  $K$ ,

<sup>†</sup>Parshin developed the global class field theory of algebraic surfaces using his 2-dimensional adèles [43, 44].

<sup>‡</sup>Bloch is one of the first researchers who used algebraic  $K$ -theory to construct the class field theory of arithmetic surfaces [4].

<sup>§</sup>Kato and S. Saito studied the global class field theory of arithmetic surfaces and then extended their results to arbitrary dimensional arithmetic schemes [26, 27].

- and multiplicative groups  $K^\times$  of non-archimedean local fields  $K$  are replaced by the  $n$ -th Parshin topological  $K$ -groups  $K_n^{\text{top}}(K)$  of  $n$ -dimensional local fields  $K$

$$\text{the group } \widehat{K^\times} \rightsquigarrow \text{the group } \widehat{K}_n^{\text{top}}(K),$$

hence the name “ $K$ -theoretic generalization” of local abelian class field theory, or the local abelian Kato-Parshin class field theory.

The paper is organised as follows. In Sections 2 and 3, we shall respectively review the basic arithmetical theory and the topological theory of  $n$ -dimensional local fields. Next, in Sections 4 and 5, Milnor  $K$ -theory and Parshin topological  $K$ -theory of  $n$ -dimensional local fields are discussed. In Section 6, after reviewing ramification theory for non-archimedean local fields, we sketch Kato’s ramification theory, which is defined only for abelian extensions of  $n$ -dimensional local fields introduced in [24, 28], and note that Kato’s ramification theory<sup>¶</sup> for finite abelian extensions of  $n$ -dimensional local fields is compatible with the local abelian Kato-Parshin reciprocity law. Finally, in Section 7, we state the local abelian  $K$ -theoretic class field theory of Kato and Parshin. In this section, we stick to the methods introduced by Fesenko, as his methods have advantages for the non-abelian generalization of this theory [20].

## 2. $n$ -dimensional local fields

The main references for this section are [33] and the excellent reviews [36, 37, 41, 50]. Let  $K$  be an  $n$ -dimensional local field. That is, attached to  $K$ , there exists a sequence of fields

$$K_0, K_1, \dots, K_{n-1}, K_n = K,$$

called the *Parshin chain of  $K$* , where

- $K_{i+1}$  is a complete discrete valuation field endowed with a discrete valuation

$$\nu_{K_{i+1}} : K_{i+1} \rightarrow \mathbb{Z} \cup \{\infty\}$$

with the ring of integers  $O_{\nu_{K_{i+1}}} = O_{K_{i+1}}$  having the unique maximal ideal  $\mathfrak{p}_{\nu_{K_{i+1}}} = \mathfrak{p}_{K_{i+1}}$  for every  $i = 0, \dots, n-1$ ;

- The residue-class field  $\kappa_{\nu_{K_{i+1}}} = \kappa_{K_{i+1}}$  of  $K_{i+1}$  is  $K_i$  for every  $i = 0, \dots, n-1$ ;
- $K_0 = \mathbb{F}_q$  the finite field with  $q = p^s$  elements, where  $p$  denotes a prime number (we could have assumed  $K_0$  is a perfect field instead).

The residue-class field  $K_{n-1}$  of  $K_n$  is called the *first residue-class field* of the  $n$ -dimensional local field  $K$ , and the residue-class field  $K_0 = \mathbb{F}_q$  of  $K_1$  is called the *last residue-class field* of the  $n$ -dimensional local field  $K$ . Moreover,  $K$  is said to be a *mixed-characteristic*  $n$ -dimensional local field if  $\text{char}(K) = 0$  and  $\text{char}(K_{n-1}) = p > 0$ , and called an *equal-characteristic*  $n$ -dimensional local field if  $\text{char}(K) = \text{char}(K_{n-1})$ .

Here are some examples of  $n$ -dimensional local fields:

**Example 2.1.** Observe that,

$$K = L((X_1)) \cdots ((X_{n-1})),$$

where  $L$  is a non-archimedean local field, is a natural example of an  $n$ -dimensional local field.

<sup>¶</sup>Note that, Kato’s ramification theory introduced in [24] is for abelian extensions of  $n$ -dimensional local fields, while Abbes and T. Saito’s ramification theory [1] is for general Galois extensions of  $n$ -dimensional local fields [49]. On the other hand, Abbes-Saito ramification theory for abelian extensions of  $n$ -dimensional local fields coincides with Kato’s ramification filtration [28].

**Example 2.2.** Let  $k$  be a complete discrete valuation field with respect to a discrete valuation  $\nu_k : k \rightarrow \mathbb{Z} \cup \{\infty\}$ . The field

$$K = k\{\{X\}\} = \left\{ \sum_{i=-\infty}^{+\infty} c_i X^i \mid c_i \in k, \inf\{\nu_k(c_i) \mid i \in \mathbb{Z}\} > -\infty, \lim_{i \rightarrow -\infty} \nu_k(c_i) = +\infty \right\}$$

endowed with a discrete valuation

$$\nu_K : K \rightarrow \mathbb{Z} \cup \{\infty\}$$

defined by

$$\nu_K \left( \sum_{i=-\infty}^{+\infty} c_i X^i \right) = \inf\{\nu_k(c_i) \mid i \in \mathbb{Z}\},$$

for every  $\sum_{i=-\infty}^{+\infty} c_i X^i \in K$ , is a complete discrete valuation field with residue class field  $\kappa_K = \kappa_k((X))$ .

Therefore, for a non-archimedean local field  $L$ , and for  $0 \leq j \leq n - 1$ ,

$$K = L\{\{X_1\}\} \cdots \{\{X_j\}\}((X_{j+2})) \cdots ((X_n))$$

is an  $n$ -dimensional local field, called a *standard  $n$ -dimensional local field*, following [36, 50]. The extreme cases  $j = 0$  and  $j = n - 1$  mean  $K = L((X_2)) \cdots ((X_n))$  and  $K = L\{\{X_1\}\} \cdots \{\{X_{n-1}\}\}$ , respectively.

**Remark 2.3.** Let  $k$  be a complete discrete valuation field with respect to a discrete valuation  $\nu_k : k \rightarrow \mathbb{Z} \cup \{\infty\}$ . Then,  $k((X_1))\{\{X_2\}\}$  is isomorphic to  $k((X_2))((X_1))$ . So, it suffices to consider standard higher-dimensional local fields. For details, look at the classification theorem for  $n$ -dimensional local fields that we recall below.

**Assumption 2.4.** *From now on, all through the paper,  $K$  denotes an  $n$ -dimensional local field with the corresponding Parshin chain*

$$\mathbb{F}_q = K_0, K_1, \dots, K_{n-1}, K_n = K.$$

**Notation 2.5.** To simplify the discussion, for  $a \in O_{K_n}$  and for an integer  $i$  satisfying  $0 \leq i \leq n - 1$ , let  $\bar{a}^{(n, \dots, n-i)}$  denote the element in  $K_{n-i-1}$  defined by “successive reductions of  $a$  modulo maximal ideals  $\mathfrak{p}_{K_n}, \dots, \mathfrak{p}_{K_{n-i}}$  respectively” as

$$a \pmod{\mathfrak{p}_{K_n}} \pmod{\mathfrak{p}_{K_{n-1}}} \cdots \pmod{\mathfrak{p}_{K_{n-i}}}$$

provided that  $\bar{a}^{(n)} \in O_{K_{n-1}}$ ,  $\bar{a}^{(n, n-1)} \in O_{K_{n-2}}$ ,  $\dots$ ,  $\bar{a}^{(n, \dots, n-i+1)} \in O_{K_{n-i}}$ . Note that,  $\bar{a}^{(n, \dots, n-i)}$  is a non-zero element of  $K_{n-i-1}$  if  $\bar{a}^{(n)} \in O_{K_{n-1}}^\times = U_{K_{n-1}}$ ,  $\bar{a}^{(n, n-1)} \in O_{K_{n-2}}^\times = U_{K_{n-2}}$ ,  $\dots$ ,  $\bar{a}^{(n, \dots, n-i+1)} \in O_{K_{n-i}}^\times = U_{K_{n-i}}$ .

An  $n$ -tuple  $\Pi_K = (t_{1,K}, \dots, t_{n,K})$  in  $K^n$  is called a *system of local parameters* of  $K$ , if

- (1)  $t_{n,K}$  is a prime element of  $K_n$  with respect to  $\nu_{K_n}$ ;
- (2)  $t_{n-1,K} \in U_{K_n}$  and its residue class  $\bar{t}_{n-1,K}^{(n)} := t_{n-1,K} \pmod{\mathfrak{p}_{K_n}}$  modulo  $\mathfrak{p}_{K_n}$  is a prime element of  $K_{n-1}$  with respect to  $\nu_{K_{n-1}}$ ;
- $\vdots$
- (n)  $t_{1,K} \in U_{K_n}$  such that  $\bar{t}_{1,K}^{(n)} \in U_{K_{n-1}}, \dots, \bar{t}_{1,K}^{(n, \dots, 3)} \in U_{K_2}$  and  $\bar{t}_{1,K}^{(n, \dots, 2)}$  is a prime element of  $K_1$  with respect to  $\nu_{K_1}$ .

So, following [33] and [42, 44, 45],  $n$ -dimensional local fields can be classified as follows. For the  $n$ -dimensional local field  $K$ :

- If  $\text{char}(K) = p$ , then it is possible to choose  $t_1, \dots, t_n \in K$ , such that

$$K \xrightarrow{\sim} \mathbb{F}_q((t_1)) \cdots ((t_n)).$$

Moreover,  $(t_1, \dots, t_n) \in K^n$  becomes a system of local parameters of  $K$ ;

- If  $\text{char}(K_1) = 0$ , then it is possible to choose  $t_2, \dots, t_n \in K$ , such that

$$K \xrightarrow{\sim} K_1((t_2)) \cdots ((t_n)).$$

Moreover, choosing  $\pi_{1,K} \in U_{K_n}$  such that  $\bar{\pi}_{1,K}^{(n)} \in U_{K_{n-1}}, \dots, \bar{\pi}_{1,K}^{(n,\dots,3)} \in U_{K_2}$  and  $\bar{\pi}_{1,K}^{(n,\dots,2)}$  is a prime element  $\pi_{K_1}$  of  $K_1$  with respect to  $\nu_{K_1}$ , the  $n$ -tuple  $(\pi_{K_1}, t_2, \dots, t_n) \in K^n$  becomes a system of local parameters of  $K$ ;

- If none of the above holds, there exists a unique  $r \in \{1, \dots, n-1\}$  such that  $\text{char}(K_{r+1}) \neq \text{char}(K_r)$ . Then, there exists a unique non-archimedean local field  $L$  of char. 0, and there exist  $n-1$  elements  $t_1, \dots, t_r, t_{r+2}, \dots, t_n \in K$ , such that  $K$  is a finite extension of the standard field

$$L\{\{t_1\}\} \cdots \{\{t_r\}\}((t_{r+2})) \cdots ((t_n)).$$

Moreover, if  $\text{char}(K_0) = p$ , then  $L$  may be chosen to be the unique unramified extension of  $\mathbb{Q}_p$  with residue-class field  $K_0$ .

Now, fix a system of local parameters  $\Pi_K = (t_{1,K}, \dots, t_{n,K}) \in K^n$  of  $K$ . This system of local parameters  $\Pi_K$  of  $K$  naturally determines a mapping

$$\rho_{\Pi_K} : K \rightarrow K_1 \times \cdots \times K_n$$

defined by

$$\rho_{\Pi_K} : a \mapsto (a_1, \dots, a_n),$$

where  $a_n = a \in K_n$  and  $a_i = \bar{a}_{i+1}^{(i+1)} \left( \bar{t}_{i+1,K}^{(n,\dots,i+1)} \right)^{-\nu_{K_{i+1}}(a_{i+1})} \in K_i$  for  $1 \leq i \leq n-1$ . Then, there exists a rank  $n$  discrete valuation

$$\bar{v}_K = (\nu_{K_1}, \dots, \nu_{K_n}) \circ \rho_{\Pi_K} : K \xrightarrow{\rho_{\Pi_K}} K_1 \times \cdots \times K_n \xrightarrow{(\nu_{K_1}, \dots, \nu_{K_n})} \mathbb{Z}^n \cup \{\infty\}$$

on  $K$  defined by

$$\bar{v}_K(a) := (\nu_{K_1}, \dots, \nu_{K_n}) \circ \rho_{\Pi_K}(a) = (\nu_{K_1}(a_1), \dots, \nu_{K_n}(a_n))$$

for  $a \in K^\times$ . Here,  $\mathbb{Z}^n$  is assumed to be *lexicographically ordered in the sense of Madunts and Zhukov* as follows: For  $\mathbf{i} = (i_1, \dots, i_n), \mathbf{j} = (j_1, \dots, j_n) \in \mathbb{Z}^n$ ,

$$\mathbf{i} \prec \mathbf{j} \iff i_\ell < j_\ell, i_{\ell+1} = j_{\ell+1}, \dots, i_n = j_n \text{ for some } 0 \leq \ell \leq n.$$

Recall that, this rank  $n$  discrete valuation  $\bar{v}_K$  on  $K$  depends on the system of local parameters  $\Pi_K$  of  $K$ . However, if  $\Pi'_K \in K^n$  is another system of local parameters of  $K$ , then the corresponding rank  $n$  discrete valuation  $\bar{v}'_K$  on  $K$  is *equivalent* to  $\bar{v}_K$  in the following sense:

$$\bar{v}'_K(a) = \bar{v}_K(a)T, \quad \forall a \in K,$$

where  $T = \left( v'_{K_j}(\text{Proj}_j \circ \rho_{\Pi_K}(t_{i,K})) \right)_{1 \leq i, j \leq n} \in M(n, \mathbb{Z})$ , which is a lower triangular square integral matrix of size  $n$  with the unit element 1 on the main diagonal. Here,  $\text{Proj}_j : K_1 \times \cdots \times K_n \rightarrow K_j$  denotes the projection map on the  $j^{\text{th}}$  coordinate. As usual,  $M(n, \mathbb{Z})$  denotes the set of all integral square matrices of size  $n$ . The rank  $n$  discrete valuation  $\bar{v}_K$  on  $K$  is called *normalized*, if  $\bar{v}_K(K^\times) = \mathbb{Z}^n$ .

For a rank  $n$  discrete valuation  $\bar{v}_K : K \rightarrow \mathbb{Z}^n \cup \{\infty\}$  defined on  $K$ , introduce the subring  $O_{\bar{v}_K}$  of  $K$  by

$$O_{\bar{v}_K} = \{a \in K \mid \bar{v}_K(a) \succeq \mathbf{0}\},$$

where  $\mathbf{0} = (0, \dots, 0) \in \mathbb{Z}^n$ , which is called the ring of integers of  $K$  with respect to the rank  $n$  discrete valuation  $\bar{v}_K$ . Note that  $O_{\bar{v}_K}$  has the unique maximal ideal  $\mathfrak{p}_{\bar{v}_K}$  defined by

$$\mathfrak{p}_{\bar{v}_K} = \{a \in O_{\bar{v}_K} \mid \bar{v}_K(a) \succ \mathbf{0}\}.$$

The quotient field  $O_{\bar{v}_K}/\mathfrak{p}_{\bar{v}_K} =: \kappa_{\bar{v}_K}$ , called the residue class field of  $K$  with respect to the rank  $n$  discrete valuation  $\bar{v}_K$ , is isomorphic to  $K_0 = \mathbb{F}_q$ .

The arithmetic structure of  $O_{\bar{v}_K}$  has the following description. Introduce for each  $\ell = 1, 2, \dots, n$ , the rank  $n - \ell + 1$  discrete valuation

$$\bar{v}_{K, \geq \ell} : K \rightarrow \mathbb{Z}^{n-\ell+1} \cup \{\infty\}$$

on  $K$  induced from the rank  $n$  valuation  $\bar{v}_K$  of  $K$  by the rule

$$\bar{v}_{K, \geq \ell}(a) = \text{Pr}_{\geq \ell}(\bar{v}_K(a))$$

for each  $a \in K$ , where

$$\text{Pr}_{\geq \ell} : \mathbb{Z}^n \rightarrow \mathbb{Z}^{n-\ell+1}$$

is the projection map defined by

$$\text{Pr}_{\geq \ell} : (m_1, \dots, m_n) \mapsto (m_\ell, \dots, m_n)$$

for every  $(m_1, \dots, m_n) \in \mathbb{Z}^n$ . In particular, the rank 1 valuation  $\bar{v}_{K, \geq n}$  on  $K$  is nothing but the first valuation  $\nu_{K_n}$  of  $K_n$ . Now, define a family of ideals of  $K$ , for each  $\ell = 1, 2, \dots, n$ , by

$$P_{\bar{v}_K}^{(i_\ell, \dots, i_n)} := \{a \in K \mid \bar{v}_{K, \geq \ell}(a) \succeq (i_\ell, \dots, i_n)\}$$

for every  $(i_\ell, \dots, i_n) \in \mathbb{Z}^{n-\ell+1}$ . Observe that

$$P_{\bar{v}_K}^{\overbrace{(0, 0, \dots, 0)}^{n\text{-tuple}}} = O_{\bar{v}_K}, \quad P_{\bar{v}_K}^{\overbrace{(1, 0, \dots, 0)}^{n\text{-tuple}}} = \mathfrak{p}_{\bar{v}_K}.$$

Note that, the collection of all non-zero ideals of  $O_{\bar{v}_K}$  consists of all ideals  $P_{\bar{v}_K}^{(i_\ell, \dots, i_n)}$   $(n - \ell + 1)$ -tuple satisfying  $(i_\ell, \dots, i_n) \succeq \overbrace{(0, \dots, 0)}^{(n - \ell + 1)\text{-tuple}}$ , for each  $1 \leq \ell \leq n$ . Thus, we see that  $O_{\bar{v}_K}$  is not a Noetherian ring for  $n > 1$ .

Now, the unit group  $U_{\bar{v}_K}$  and the group of principal units  $V_{\bar{v}_K}$  of  $K$  relative to the rank  $n$  discrete valuation  $\bar{v}_K$  are defined by

$$U_{\bar{v}_K} = O_{\bar{v}_K}^\times, \quad V_{\bar{v}_K} = 1 + \mathfrak{p}_{\bar{v}_K}.$$

It is also possible to define the higher-unit groups  $U_{\bar{v}_K}^{(i_\ell, \dots, i_n)}$  of  $K$  relative to the rank  $n$  discrete valuation  $\bar{v}_K$  by

$$U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} = 1 + P_{\bar{v}_K}^{(i_\ell, \dots, i_n)} = \{a \in K \mid \bar{v}_{K, \geq \ell}(a - 1) \succeq (i_\ell, \dots, i_n)\},$$

where  $(i_\ell, \dots, i_n) \in \mathbb{Z}^{n-\ell+1}$  satisfying  $(i_\ell, \dots, i_n) \succeq \overbrace{(0, \dots, 0)}^{(n - \ell + 1)\text{-tuple}}$ , for each  $\ell$  satisfying  $1 \leq \ell \leq n$ .

In particular, in case  $\ell = n$ , as already mentioned the rank 1 discrete valuation  $\bar{v}_{K, \geq n} : K \rightarrow \mathbb{Z} \cup \{\infty\}$  is  $\nu_{K_n} : K_n \rightarrow \mathbb{Z} \cup \{\infty\}$ , and in this setting:

$$U_{\bar{v}_K}^{(i_n)} = \{a \in K \mid \bar{v}_{K, \geq n}(a - 1) \succeq i_n\} = \{a \in K \mid \nu_{K_n}(a - 1) \geq i_n\},$$

where  $i_n \in \mathbb{Z}$  satisfies  $i_n \geq 0$ . Thus, we shall use the standard notation for  $U_{\bar{v}_K}^{(i_n)}$ , and set

$$U_{\bar{v}_K}^{(i_n)} = U_{K_n}^{i_n},$$

for each  $i_n \in \mathbb{Z}$  such that  $i_n \geq 0$ . Moreover, in the specific case  $i_n = 1$ , we further denote the group of principal units  $U_{\bar{v}_K}^{(i_n=1)}$  of  $K = K_n$  relative to the rank 1 discrete valuation  $\bar{v}_{K, \geq n} = \nu_{K_n}$  of  $K = K_n$  by

$$U_{\bar{v}_K}^{(i_n=1)} = V_{K_n}.$$

**Remark 2.6.** The objects  $O_{\bar{v}_K}$ ,  $\mathfrak{p}_{\bar{v}_K}$ ,  $U_{\bar{v}_K}$ ,  $V_{\bar{v}_K}$ , and  $P_{\bar{v}_K}^{(i_\ell, \dots, i_n)}$ ,  $U_{\bar{v}_K}^{(i_\ell, \dots, i_n)}$  introduced so far do not depend on the choice of a system of local parameters  $\Pi_K$  of the  $n$ -dimensional local field  $K$ .

If  $\Pi_K = (t_{1,K}, \dots, t_{n,K})$  is a system of local parameters of  $K$ , then as in the classical 1-dimensional case, we can describe the multiplicative group  $K^\times$  of the  $n$ -dimensional local field  $K$  by

$$K^\times \simeq \mathbb{Z}t_{n,K} \oplus \mathbb{Z}t_{n-1,K} \oplus \dots \oplus \mathbb{Z}t_{1,K} \oplus U_{\bar{v}_K}$$

and

$$U_{\bar{v}_K} \simeq R_{\bar{v}_K} \oplus V_{\bar{v}_K},$$

where  $R_{\bar{v}_K}$  is the subgroup in  $K^\times$  consisting of Teichmüller representatives of all non-zero elements of the last-residue field  $K_0 = \mathbb{F}_q$  of  $K$ . Moreover, any  $a \in K$  has a unique expression as a formal power series

$$a = \sum_{\mathbf{b}=(b_1, \dots, b_n)} [\theta_{\mathbf{b}}] t_{1,K}^{b_1} \cdots t_{n,K}^{b_n},$$

where all coefficients  $[\theta_{\mathbf{b}}]$  are from the Teichmüller representatives of all non-zero elements of the last residue field  $K_0 = \mathbb{F}_q$  of  $K$  and the summation over  $\mathbf{b}$  runs over the admissible set  $\{\mathbf{b} \in \mathbb{Z}^n \mid \theta_{\mathbf{b}} \neq 0\}$ , which is well-ordered in  $\mathbb{Z}^n$ .

For any algebraic extension  $L$  of  $K$ , there exists a unique extension  $\bar{v}_L$  of the rank  $n$  discrete valuation  $\bar{v}_K$  of  $K$  to  $L$ . Now, let in particular,  $L/K$  be a finite extension. Then,  $L$  has an  $n$ -dimensional local field structure with the corresponding Parshin chain

$$\begin{array}{cccc} L_0 & \cdots & L_{n-1} & L_n = L \\ \downarrow & & \downarrow & \downarrow \\ K_0 & \cdots & K_{n-1} & K_n = K. \end{array}$$

Let  $\Pi_K = (t_{1,K}, \dots, t_{n,K}) \in K^n$  and  $\Pi_L = (t_{1,L}, \dots, t_{n,L}) \in L^n$  be systems of local parameters of  $K$  and of  $L$  respectively. As usual, let  $\bar{v}_K$  and  $\bar{v}_L$  be the corresponding rank  $n$  discrete valuations on  $K$  and on  $L$  respectively. Then, for every  $a \in K \subseteq L$ , the  $n$ -tuples

$$\bar{v}_K(a) := (\nu_{K_1}, \dots, \nu_{K_n}) \circ \rho_{\Pi_K}(a)$$

and

$$\bar{v}_L(a) := (\nu_{L_1}, \dots, \nu_{L_n}) \circ \rho_{\Pi_L}(a)$$

are both in  $\mathbb{Z}^n$ , and they are related by

$$\bar{v}_L(a) = \bar{v}_K(a)E(L/K; \Pi_K, \Pi_L),$$

where  $E(L/K; \Pi_K, \Pi_L) \in M(n, \mathbb{Z})$  is the lower-triangular integral matrix given by

$$E(L/K; \Pi_K, \Pi_L) = (v_{L_j}(t_{i,K}))_{i,j}.$$

The diagonal entries of  $E(L/K; \Pi_K, \Pi_L)$  do not depend on the choice of the systems of local parameters  $\Pi_K$  and  $\Pi_L$ . Therefore, the diagonal elements of  $E(L/K; \Pi_K, \Pi_L)$  will be denoted simply by  $e_1(L/K), \dots, e_n(L/K)$ . As a notation, let  $[L_0 : K_0] = f(L/K)$ . It is then easy to prove that

$$[L_i : K_i] = f(L/K)e_1(L/K) \cdots e_i(L/K),$$

for  $i = 1 \cdots, n$ , where

$$e_\ell(L/K) = e(L_\ell/K_\ell),$$

for  $\ell = 1, \dots, i \leq n$ . Moreover, the finite extension  $L/K$  is called:

- *totally ramified*, if  $f(L/K) = 1$  (or equivalently  $L_0 = K_0$ );
- *semi ramified* if  $e_n(L/K) = 1$  and  $L_{n-1}/K_{n-1}$  is separable;
- *purely unramified*, if the equality  $[L : K] = f(L/K)$  (or equivalently  $\prod_{i=1}^n e_i(L/K) = 1$ ) holds.

If  $K$  satisfies  $\text{char}(K_{n-1}) = p > 0$ , then  $[L_{n-1} : K_{n-1}]$  has an expression of the form

$$[L_{n-1} : K_{n-1}] = f_0 \cdot p^s,$$

where  $f_0$  is the separable degree of  $L_{n-1}/K_{n-1}$  denoted by  $f_0(L/K)$ , and  $p^s$  is the inseparable degree of  $L_{n-1}/K_{n-1}$  denoted by  $s(L/K)$ .

Now, assume that  $L/K$  is an infinite algebraic extension in a fixed algebraic closure  $\bar{K}$ . The infinite algebraic extension  $L/K$  is called:

- *totally ramified*, if every finite subextension  $F/K$  of  $L/K$  inside  $\bar{K}$  is totally ramified. Thus, if  $M/K$  is any subextension of a totally ramified extension  $L/K$ , then  $M/K$  is totally ramified as well. Moreover,  $L/K$  is called *maximal totally ramified*, if there is no totally ramified extension  $E/K$  satisfying  $L \subsetneq E \subset \bar{K}$ . A maximal totally ramified extension of  $K$  in  $\bar{K}$  exists but it is not unique. Note that, the compositum of a collection of totally ramified extensions of  $K$  inside  $\bar{K}$  is not necessarily totally ramified over  $K$ .
- *purely unramified*, if every finite subextension  $F/K$  of  $L/K$  inside  $\bar{K}$  is purely unramified. Thus, if  $M/K$  is any subextension of a purely unramified extension  $L/K$ , then  $M/K$  is purely unramified as well. The compositum of a collection of purely unramified extensions of  $K$  in  $\bar{K}$  is again purely unramified over  $K$ . Therefore, the compositum  $K^{\text{pur}}$  of all purely unramified extensions of  $K$  in  $\bar{K}$  is the maximal purely unramified extension of  $K$  in  $\bar{K}$ . Moreover,

$$K^{\text{pur}} = \bigcup_{(m,p)=1} K(\zeta_m),$$

where  $\zeta_m$  is a primitive  $m^{\text{th}}$  root of unity with  $m$  relatively prime to  $p$ . Thus, it follows that  $K^{\text{pur}}$  is Galois over  $K$ . A topological generator  $\varphi_K$  of  $\text{Gal}(K^{\text{pur}}/K)$  which is mapped on the topological generator  $\text{Frob}_q$  of  $\text{Gal}(\mathbb{F}_q^{\text{sep}}/\mathbb{F}_q)$  is called the *Frobenius automorphism* of  $K$ . So, for each  $0 < d \in \mathbb{Z}$ , there exists a unique purely unramified extension  $K^{\text{pur},d}$  of degree  $d$  over  $K$ , which is the splitting field of the polynomial  $X^{p^d} - X \in K[X]$  over  $K$ . Moreover, note that, if  $L/K$  is purely unramified, and  $\Pi_K \in K^n$  is a system of local parameters of  $K$ , then  $\Pi_K \in L^n$  remains a system of local parameters of  $L$  as well.

The proof of the following proposition is clear.

**Proposition 2.7.** *If  $L/K$  is any algebraic extension, then its unique maximal purely unramified subextension  $L_o/K$  is nothing but  $L_o = L \cap K^{\text{pur}}$ .*

Moreover,

**Proposition 2.8.** *Let  $L/K$  be a finite extension. Then the unique maximal purely unramified subextension  $L_o/K$  of  $L/K$  is the splitting field of the polynomial  $X^{p^{f(L/K)}} - X \in K[X]$  over  $K$ . Moreover,  $L/L_o$  is a totally ramified extension, and*

$$[L : L_o] = e_1(L/K) \cdots e_n(L/K), \quad [L_o : K] = f(L/K).$$

A special case of this proposition reads as follows: Let  $L/K$  be an algebraic extension. Then,

$$L/K : \text{totally ramified} \Leftrightarrow L_o = K. \tag{2.1}$$

### 3. Topologies on an $n$ -dimensional local field

There are several topologies related to the  $n$ -dimensional local field  $K$  with the corresponding Parshin chain

$$K_0, K_1, \dots, K_{n-1}, K_n = K.$$



- The complete discrete valuation  $\nu_{K_n} : K_n \rightarrow \mathbb{Z} \cup \{\infty\}$  on  $K_n$  defines a natural topology on  $K_n = K$ , called *the discrete valuation topology on  $K$* , denoted by  $\mathcal{V}_{K_n}$ . With respect to  $\mathcal{V}_{K_n}$ :
  - $K$  has a natural complete and Hausdorff topological field structure;
  - As a topological field,  $K$  is not locally compact in case  $n \geq 2$  as  $\kappa_{K_n} = K_{n-1}$  is not a finite field;
  - Moreover, again in case  $n \geq 2$ , the elements of  $K$ , which can be considered as formal series  $\sum_i a_i t_{n,K}^i$  in the first local parameter  $t_{n,K}$  of  $K$  via the structure theorem of higher-dimensional local fields, do not converge, as  $|a_i|_{\nu_{K_n}} = 1$  whenever  $a_i \neq 0$ .

Let  $\Pi_K = (t_{1,K}, \dots, t_{n,K}) \in K^n$  be a system of local parameters of  $K$  and  $\bar{v}_K : K \rightarrow \mathbb{Z}^n \cup \{\infty\}$  be the corresponding rank  $n$  discrete valuation on  $K$  introduced in Section 2.

- There is a natural topology  $\mathcal{T}_K$  on  $K$ , called *the higher topology on  $K$* , which is defined recursively by the higher topologies on the residue fields  $K_{n-1}, \dots, K_1$  and  $K_0$ , where the higher topology  $\mathcal{T}_{K_1}$  on  $K_1$  coincides with the discrete valuation topology  $\mathcal{V}_{K_1}$  on  $K_1$ , look at [50] for details. With respect to the topology  $\mathcal{T}_K$ :
  - $K$  does not have a topological field structure. In fact,  $K$  is a complete and Hausdorff *sequential ring*; that is, the additive group  $K^+$  is a topological group, multiplication  $K \times K \xrightarrow{\times} K$  on  $K$  is sequentially continuous. In general, the inversion  $K^\times \xrightarrow{\iota} K^\times$  on  $K^\times$  is *not* sequentially continuous with respect to the induced topology of  $\mathcal{T}_K$  on  $K^\times$ . Look at [5, 6] for an overview of sequential algebraic structures;
  - The map  $K \rightarrow K$  defined by multiplication with a fixed non-zero  $a_o \in K$  as  $a \mapsto a_o \cdot a$  for every  $a \in K$  is a homeomorphism;
  - The residue homomorphism  $O_{\bar{v}_K} \rightarrow K_{n-1}$  is continuous and open, where  $O_{\bar{v}_K}$  is equipped with the subspace topology induced from the higher topology  $\mathcal{T}_K$  of  $K$  and  $K_{n-1}$  is endowed with its higher topology  $\mathcal{T}_{K_{n-1}}$ ;
  - The unique formal power series expression of  $a \in K$  given by

$$a = \sum_{\mathbf{b}=(b_1, \dots, b_n)} [\theta_{\mathbf{b}}] t_{1,K}^{b_1} \cdots t_{n,K}^{b_n},$$

where all coefficients  $[\theta_{\mathbf{b}}]$  are from the Teichmüller representatives of all non-zero elements of the last residue field  $K_0 = \mathbb{F}_q$  of  $K$  and the summation is over the admissible well-ordered set  $\{\mathbf{b} \in \mathbb{Z}^n \mid \theta_{\mathbf{b}} \neq 0\}$ , is absolutely convergent.

- There is also a natural topology  $\mathcal{T}_{K^\times}$  on the multiplicative group  $K^\times$ , called *the higher topology on  $K^\times$* , which is defined as the initial (that is, weakest) topology on  $K^\times$  that makes the map

$$K^\times \rightarrow K \times K$$

given by

$$a \mapsto (a, a^{-1}),$$

for every  $a \in K^\times$  sequentially continuous. Equivalently, the topology  $\mathcal{T}_{K^\times}$  on  $K^\times$  is defined as follows:

If  $\text{char}(K_{n-1}) = p > 0$ , then the topology  $\mathcal{T}_{K^\times}$  on  $K^\times$  is defined to be the unique topology on  $K^\times$  that turns the isomorphism

$$K^\times \xrightarrow{\sim} \langle t_{n,K} \rangle \times \cdots \times \langle t_{1,K} \rangle \times R_{\bar{v}_K} \times V_{\bar{v}_K}$$

into a topological group isomorphism. Here, as introduced in the previous section,  $R_{\bar{v}_K}$  is the subgroup of  $K^\times$  consisting of Teichmüller representatives of all non-zero elements of the last-residue field  $K_0 = \mathbb{F}_q$  of  $K$ ,  $V_{\bar{v}_K}$  is the group of principal units of  $K$  relative to  $\bar{v}_K$ , and the topology on  $\langle t_{n,K} \rangle \times \cdots \times \langle t_{1,K} \rangle \times R_{\bar{v}_K} \times V_{\bar{v}_K}$  is the

product topology defined by the discrete topology on  $\langle t_{n,K} \rangle \times \cdots \times \langle t_{1,K} \rangle \times R_{\bar{v}_K}$  and the topology on  $V_{\bar{v}_K}$  induced from the topology  $\mathcal{T}_K$  on  $K$ .

If  $\text{char}(K) = \cdots = \text{char}(K_{m+1}) = 0$ ,  $\text{char}(K_m) = p > 0$  for some  $m \leq n - 2$ , then the natural topology  $\mathcal{T}_{K^\times}$  on  $K^\times$  is defined to be the unique topology on  $K^\times$  that turns the isomorphism

$$K^\times \xrightarrow{\sim} \langle t_{n,K} \rangle \times \cdots \times \langle t_{1,K} \rangle \times R_{\bar{v}_K} \times V_{\bar{v}_K}$$

into a topological group isomorphism, where the topology on  $\langle t_{n,K} \rangle \times \cdots \times \langle t_{1,K} \rangle \times R_{\bar{v}_K} \times V_{\bar{v}_K}$  is the product topology defined by the discrete topology on  $\langle t_{n,K} \rangle \times \cdots \times \langle t_{1,K} \rangle$  and the topology on  $U_{\bar{v}_K} = R_{\bar{v}_K} \times V_{\bar{v}_K}$  induced from the natural subspace topology on  $U_{\bar{v}_{K_{m+1}}}$  given by  $\mathcal{T}_{K_{m+1}^\times}$  via the canonical short exact sequence

$$1 \rightarrow 1 + P_{\bar{v}_K}^{(1,0,\dots,0)} \rightarrow U_{\bar{v}_K} \rightarrow U_{\bar{v}_{K_{m+1}}} \rightarrow 1.$$

The basic properties of the topology  $\mathcal{T}_{K^\times}$  on  $K^\times$  are the following :

- Every Cauchy sequence in  $K^\times$  with respect to the topology  $\mathcal{T}_{K^\times}$  converges in  $K^\times$ ;
- Multiplication  $K^\times \times K^\times \xrightarrow{\times} K^\times$  on  $K^\times$  is sequentially continuous and the inversion  $K^\times \xrightarrow{\iota} K^\times$  on  $K^\times$  is sequentially continuous. That is,  $K^\times$  becomes a *sequential group*;
- If  $n \leq 2$ , then the multiplicative group  $K^\times$  is furthermore a topological group with respect to  $\mathcal{T}_{K^\times}$  with a countable base of open subgroups. If  $n \geq 3$ , then the multiplicative group  $K^\times$  is not a topological group with respect to  $\mathcal{T}_{K^\times}$ ;
- The unique formal product expression of  $a \in K^\times$  given by

$$a = t_{1,K}^{r_1} \cdots t_{n,K}^{r_n} \theta \prod_{\mathbf{b}=(b_1,\dots,b_n)} (1 + [\theta_{\mathbf{b}}] t_{1,K}^{b_1} \cdots t_{n,K}^{b_n}),$$

where  $r_1, \dots, r_n \in \mathbb{Z}$ , all coefficients  $[\theta_{\mathbf{b}}]$  and  $\theta$  are from the Teichmüller representatives of all non-zero elements of the last residue field  $K_0 = \mathbb{F}_q$  of  $K$  and the product is over the admissible well-ordered set  $\{\mathbf{b} \in \mathbb{Z}^n \mid \theta_{\mathbf{b}} \neq 0\}$ , is absolutely convergent.

For details about the topology  $\mathcal{T}_{K^\times}$ , look at [50].

As Fesenko points out, the higher topology  $\mathcal{T}_K$  on  $K$  and the higher topology  $\mathcal{T}_{K^\times}$  on  $K^\times$  are indeed “the appropriate topologies” for class field theoretic investigations for  $K$ . It is also quite possible, as suggested by Brauning, that a totally new theory, like “condensed mathematics” of Clausen and Scholze [46] or “pyknotic mathematics” of Barwick and Haine [3], is needed to settle the topological problems of  $K$ .

#### 4. Milnor $K$ -theory

Let  $F$  be any field. For any integer  $m > 0$ , the  $m^{\text{th}}$  Milnor  $K$ -group  $K_m^{\text{Milnor}}(F)$  of  $F$  is defined by the quotient

$$K_m^{\text{Milnor}}(F) := F^{\times \otimes m} / J_m(F),$$

where  $F^{\times \otimes m} = \overbrace{F^\times \otimes \cdots \otimes F^\times}^{m\text{-copies}}$  is the  $m$ -fold tensor product of  $F^\times$  and  $J_m(F)$  is the subgroup of  $F^{\times \otimes m}$  defined by

$$\langle x_1 \otimes \cdots \otimes x_m \mid x_i + x_j = 1, \exists i, j, 1 \leq i \neq j \leq m \rangle.$$

For  $x_1, \dots, x_m \in F^\times$ , the element  $x_1 \otimes \cdots \otimes x_m \pmod{J_m(F)}$  in  $K_m^{\text{Milnor}}(F)$  is simply denoted by  $\{x_1, \dots, x_m\}$  and called the *generalized Steinberg symbol* of  $x_1, \dots, x_m$ . In case  $m = 0$ , we set  $K_{m=0}^{\text{Milnor}}(F) = \mathbb{Z}$ .

Milnor  $K$ -theory  $K_m^{\text{Milnor}}$  defines a functor from the category of fields to the category of abelian groups. Let  $L/F$  be any extension. Then the natural embedding  $j_{L/F} : F \hookrightarrow L$  induces a group homomorphism

$$K_m^{\text{Milnor}}(j_{L/F}) = j_{L/F}^{\text{Milnor}} : K_m^{\text{Milnor}}(F) \rightarrow K_m^{\text{Milnor}}(L).$$

In case  $m = 0$ , the homomorphism  $j_{L/F}^{\text{Milnor}}$  is the identity arrow  $\text{id}_{\mathbb{Z}} : \mathbb{Z} \rightarrow \mathbb{Z}$ .

By a theorem of Bass, Tate and Kato, there exists, for each finite extension  $L/F$ , a group homomorphism

$$N_{L/F}^{\text{Milnor}} : K_m^{\text{Milnor}}(L) \rightarrow K_m^{\text{Milnor}}(F),$$

called the ( $K$ -theoretic) norm map from  $L$  to  $F$ . The basic properties of this arrow are the following:

- The norm map  $N_{L/F}^{\text{Milnor}} : K_m^{\text{Milnor}}(L) \rightarrow K_m^{\text{Milnor}}(F)$  from  $L$  to  $F$  is transitive in the sense that, for every chain  $F \subset M \subset L$  of extensions of  $F$ , the equality

$$N_{L/F}^{\text{Milnor}} = N_{M/F}^{\text{Milnor}} \circ N_{L/M}^{\text{Milnor}}$$

holds;

- In the low-dimensional cases, the homomorphism

$$N_{L/F}^{\text{Milnor}} : K_m^{\text{Milnor}}(L) \rightarrow K_m^{\text{Milnor}}(F)$$

reduces to the multiplication by  $[L : F]$  mapping if  $m = 0$ , and to the usual norm map of fields  $N_{L/F} : L^\times \rightarrow F^\times$  if  $m = 1$ ;

- The composition

$$K_m^{\text{Milnor}}(F) \xrightarrow{j_{L/F}^{\text{Milnor}}} K_m^{\text{Milnor}}(L) \xrightarrow{N_{L/F}^{\text{Milnor}}} K_m^{\text{Milnor}}(F)$$

is the mapping defined as the multiplication by  $[L : F]$ ;

- If  $\sigma \in \text{Aut}_F(L)$ , then

$$N_{L/F}^{\text{Milnor}} \circ K_m^{\text{Milnor}}(\sigma) = N_{L/F}^{\text{Milnor}},$$

where  $K_m^{\text{Milnor}}(\sigma) : K_m^{\text{Milnor}}(L) \rightarrow K_m^{\text{Milnor}}(L)$  is the homomorphism induced by the  $F$ -automorphism  $\sigma : L \rightarrow L$ .

For details about Milnor  $K$ -theory, look at Chapter IX of [15].

In case,  $K$  is the  $n$ -dimensional local field with the corresponding Parshin chain

$$\mathbb{F}_q = K_0, K_1, \dots, K_{n-1}, K_n = K,$$

there exists a surjective homomorphism called the ( $K$ -theoretic) valuation map

$$\nu_{K_n^{\text{Milnor}}(K)} : K_n^{\text{Milnor}}(K) \rightarrow \mathbb{Z} \tag{4.1}$$

on  $K_n^{\text{Milnor}}(K)$  defined by the composition

$$\nu_{K_n^{\text{Milnor}}(K)} : K_n^{\text{Milnor}}(K_n) \xrightarrow{\partial_{n-1}^n} K_{n-1}^{\text{Milnor}}(K_{n-1}) \xrightarrow{\partial_{n-2}^{n-1}} \dots \xrightarrow{\partial_0^1} K_0^{\text{Milnor}}(K_0) = \mathbb{Z}, \tag{4.2}$$

where the arrows

$$\partial_{i-1}^i : K_i^{\text{Milnor}}(K_i) \rightarrow K_{i-1}^{\text{Milnor}}(K_{i-1}),$$

for  $i = 1, 2, 3, \dots, n$ , are the boundary homomorphisms in Milnor  $K$ -theory defined by

$$\partial_{i-1}^i(\{u_1, \dots, u_{i-1}, x\}) = \nu_{K_i}(x)\{\bar{u}_1, \dots, \bar{u}_{i-1}\}$$

for each  $u_1, \dots, u_{i-1} \in O_{K_i}^\times = U_{K_i}$  and  $x \in K_i^\times$ , where  $\bar{u}_1, \dots, \bar{u}_{i-1} \in K_{i-1}$  are defined by reduction modulo  $\mathfrak{p}_{K_i}$  of the elements  $u_1, \dots, u_{i-1}$  in  $K_i$ . Let  $L$  be a finite extension of  $K$ . Then the  $K$ -theoretic valuation map

$$\nu_{K_n^{\text{Milnor}}(L)} : K_n^{\text{Milnor}}(L) \rightarrow \mathbb{Z}$$

on  $K_n^{\text{Milnor}}(L)$  satisfies

$$\nu_{K_n^{\text{Milnor}}(L)} = \frac{1}{f(L/K)} \nu_{K_n^{\text{Milnor}}(K)} \circ N_{L/K}^{\text{Milnor}},$$

where  $f(L/K) = [L_0 : K_0]$ , because the diagram

$$\begin{CD} K_n^{\text{Milnor}}(L) @>\partial_{n-1}^{n-1}>> K_{n-1}^{\text{Milnor}}(L_{n-1}) \\ @V N_{L/K}^{\text{Milnor}} VV @VV N_{L_{n-1}/K_{n-1}}^{\text{Milnor}} V \\ K_n^{\text{Milnor}}(K) @>\partial_{n-1}^{n-1}>> K_{n-1}^{\text{Milnor}}(K_{n-1}) \end{CD}$$

is commutative. An element  $\Pi_{K_n^{\text{Milnor}}(K)}$  of  $K_n^{\text{Milnor}}(K)$  is called a *prime element* of  $K_n^{\text{Milnor}}(K)$  if

$$\nu_{K_n^{\text{Milnor}}(K)}(\Pi_{K_n^{\text{Milnor}}(K)}) = 1.$$

Note that, a prime element  $\Pi_{K_n^{\text{Milnor}}(K)}$  of  $K_n^{\text{Milnor}}(K)$  can be expressed as

$$\Pi_{K_n^{\text{Milnor}}(K)} = \{t_{1,K}, \dots, t_{n,K}\} + \varepsilon,$$

where  $\Pi_K = (t_{1,K}, \dots, t_{n,K}) \in K^n$  is a system of local parameters of the  $n$ -dimensional local field  $K$  and the element  $\varepsilon$  lies in  $\text{Ker}(\nu_{K_n^{\text{Milnor}}(K)})$ .

Continue to assume that  $K$  is an  $n$ -dimensional local field. Then, choosing a system of local parameters  $\Pi_K = (t_{1,K}, \dots, t_{n,K}) \in K^n$  of  $K$ ,  $\Pi_K$  determines a rank  $n$  discrete valuation

$$\bar{v}_K : K \rightarrow \mathbb{Z}^n \cup \{\infty\}$$

of  $K$ , which determines a collection  $\left\{ U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(K) \right\}_{(i_\ell, \dots, i_n)}$  consisting of subgroups  $U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(K)$  of  $K_m^{\text{Milnor}}(K)$  given by

$$U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(K) = \left\langle \{x_1, \dots, x_m\} \in K_m^{\text{Milnor}}(K) \mid x_1 \in U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} \right\rangle,$$

where  $(i_\ell, \dots, i_n) \in \mathbb{Z}^{n-\ell+1}$  satisfies  $(i_\ell, \dots, i_n) \succeq \overbrace{(0, \dots, 0)}^{(n-\ell+1)\text{-tuple}}$ , for each  $\ell$  satisfying  $1 \leq \ell \leq n$ .

In particular, in case  $\ell = n$ , the group  $U_{\bar{v}_K}^{(i_n)} K_m^{\text{Milnor}}(K)$  is denoted by  $U_{K_n}^{i_n} K_m^{\text{Milnor}}(K)$  for each  $i_n \in \mathbb{Z}$  satisfying  $i_n \geq 0$ . Moreover,

- if  $i_n = 0$ , the group  $U_{\bar{v}_K}^{(i_n=0)} K_m^{\text{Milnor}}(K)$  is denoted by  $U_{K_n} K_m^{\text{Milnor}}(K)$ ;
- if  $i_n = 1$ , the group  $U_{\bar{v}_K}^{(i_n=1)} K_m^{\text{Milnor}}(K)$  is denoted by  $V_{K_n} K_m^{\text{Milnor}}(K)$ .

In case  $L$  is an algebraic extension of  $K$  and  $\bar{w}_L$  is the unique extension of the rank  $n$  discrete valuation  $\bar{v}_K$  of  $K$  to  $L$ , the subgroup

$$U_{\bar{w}_L}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(L) = \left\langle \{x_1, \dots, x_m\} \in K_m^{\text{Milnor}}(L) \mid x_1 \in U_{\bar{w}_L}^{(i_\ell, \dots, i_n)} \right\rangle,$$

of  $K_m^{\text{Milnor}}(L)$  is denoted by  $U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(L)$ , where  $(i_\ell, \dots, i_n) \in \mathbb{Z}^{n-\ell+1}$  satisfies

$(i_\ell, \dots, i_n) \succeq \overbrace{(0, \dots, 0)}^{(n-\ell+1)\text{-tuple}}$ , for each  $\ell$  satisfying  $1 \leq \ell \leq n$ .

In particular, in case  $\ell = n$ , the group  $U_{\bar{v}_K}^{(i_n)} K_m^{\text{Milnor}}(L)$  is denoted by  $U_{K_n}^{i_n} K_m^{\text{Milnor}}(L)$  for each  $i_n \in \mathbb{Z}$  satisfying  $i_n \geq 0$ . Moreover,

- if  $i_n = 0$ , the group  $U_{\bar{v}_K}^{(i_n=0)} K_m^{\text{Milnor}}(L)$  is denoted by  $U_{K_n} K_m^{\text{Milnor}}(L)$ ;
- if  $i_n = 1$ , the group  $U_{\bar{v}_K}^{(i_n=1)} K_m^{\text{Milnor}}(L)$  is denoted by  $V_{K_n} K_m^{\text{Milnor}}(L)$ .

### 5. $K_*^{\text{top}}$ -groups

Let  $F$  be a field such that  $F^\times$  is endowed with a topology  $\mathcal{T}$ . The topology  $\mathcal{T}$  on  $F^\times$  introduces a natural topology  $\mathcal{T}_{K_m^{\text{Milnor}}(F)}$  on  $K_m^{\text{Milnor}}(F)$ . The sequential saturation<sup>||</sup>  $(\mathcal{T}_{K_m^{\text{Milnor}}(F)})_{\text{seq}}$  of  $\mathcal{T}_{K_m^{\text{Milnor}}(F)}$  is the strongest topology on  $K_m^{\text{Milnor}}(F)$  that makes the mappings

$$(\alpha, \beta) \mapsto \alpha - \beta, \quad \forall \alpha, \beta \in K_m^{\text{Milnor}}(F)$$

and

$$(a_1, \dots, a_m) \mapsto \{a_1, \dots, a_m\}, \quad \forall a_1, \dots, a_m \in F^\times$$

continuous. Look at Remark 1 in [14]. With respect to the topology  $(\mathcal{T}_{K_m^{\text{Milnor}}(F)})_{\text{seq}}$  defined on  $K_m^{\text{Milnor}}(F)$ , Parshin introduced

$$\Lambda_{K_m^{\text{Milnor}}(F)} := \bigcap_{\mathcal{O}} \mathcal{O},$$

where  $\mathcal{O}$  runs over all open neighbourhoods of the identity element  $0_{K_m^{\text{Milnor}}(F)}$  of  $K_m^{\text{Milnor}}(F)$ , which is a closed subgroup of  $K_m^{\text{Milnor}}(F)$ . The quotient group

$$K_m^{\text{top}}(F) := K_m^{\text{Milnor}}(F) / \Lambda_{K_m^{\text{Milnor}}(F)}$$

endowed with the quotient topology of  $(\mathcal{T}_{K_m^{\text{Milnor}}(F)})_{\text{seq}}$ ; that is, the maximal Hausdorff quotient of  $K_m^{\text{Milnor}}(F)$  with respect to  $(\mathcal{T}_{K_m^{\text{Milnor}}(F)})_{\text{seq}}$ , is called the  $m^{\text{th}}$  Parshin topological  $K$ -group of the field  $F$ . For  $x_1, \dots, x_m \in F^\times$ , the element  $\{x_1, \dots, x_m\} \pmod{\Lambda_{K_m^{\text{Milnor}}(F)}}$  is denoted by  $\{x_1, \dots, x_m\}^{\text{top}}$  and called the topological Steinberg symbol of  $x_1, \dots, x_m$ .

Let  $L$  be any “compatible” extension of  $F$  in the sense that:

- $L^\times$  is endowed with a topology  $\mathcal{T}'$ ;
- The topology  $\mathcal{T}$  on  $F^\times$  is induced from  $\mathcal{T}'$ .

Then, the inclusion  $j_{L/F}^{\text{Milnor}}(\Lambda_{K_m^{\text{Milnor}}(F)}) \subseteq \Lambda_{K_m^{\text{Milnor}}(L)}$  clearly follows, and the group homomorphism  $j_{L/F}^{\text{Milnor}} : K_m^{\text{Milnor}}(F) \rightarrow K_m^{\text{Milnor}}(L)$  extends uniquely to a continuous homomorphism

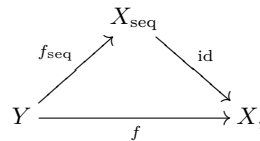
$$j_{L/F}^{\text{top}} : K_m^{\text{top}}(F) \rightarrow K_m^{\text{top}}(L).$$

For the  $n$ -dimensional local field  $K$ , let  $\mathcal{T}_{K^\times}$  be the higher topology on  $K^\times$  introduced in Section 3. As in the preceding paragraph, the strongest topology on  $K_m^{\text{Milnor}}(K)$  that makes the mappings

$$(\alpha, \beta) \mapsto \alpha - \beta, \quad \forall \alpha, \beta \in K_m^{\text{Milnor}}(K)$$

<sup>||</sup>Let  $X$  be a set endowed with a topology  $\mathcal{T}$ . Recall that  $U \subseteq X$  is called sequentially open (with respect to  $\mathcal{T}$ ), if for any sequence  $(x_n)$  in  $X$  converging to  $u \in U$ , there exists  $n_o$  such that  $x_n \in U$  for every  $n \geq n_o$ . The collection of sequentially open subsets of  $X$  (with respect to  $\mathcal{T}$ ) defines a topology  $\mathcal{T}_{\text{seq}}$  on  $X$  finer than  $\mathcal{T}$ , called the sequential saturation of  $\mathcal{T}$ , and the topological space  $(X, \mathcal{T}_{\text{seq}})$  the sequential saturation of the topological space  $(X, \mathcal{T})$ . To simplify the notation, the sequential saturation of the topological space  $X$  is simply denoted by  $X_{\text{seq}}$ . If  $X = X_{\text{seq}}$  (that is, if  $\mathcal{T} = \mathcal{T}_{\text{seq}}$ ), then  $X$  is called a sequentially saturated topological space. Note that, the topological space  $X_{\text{seq}}$  has the following basic properties:

- $X_{\text{seq}}$  is sequentially saturated, namely  $(X_{\text{seq}})_{\text{seq}} = X_{\text{seq}}$ ;
- The universal mapping property satisfied by  $X_{\text{seq}}$ : If  $Y$  is a sequentially saturated space, then any continuous map  $f : Y \rightarrow X$  factors naturally as



- where the induced map  $f_{\text{seq}} : Y \rightarrow X_{\text{seq}}$  is continuous;
- If  $Y$  is a topological space and  $f : Y \rightarrow X$  is any sequentially continuous map, then the induced map  $f_{\text{seq}} : Y_{\text{seq}} \rightarrow X_{\text{seq}}$  is continuous.

and

$$(a_1, \dots, a_m) \mapsto \{a_1, \dots, a_m\}, \quad \forall a_1, \dots, a_m \in K^\times$$

continuous is the sequential saturation  $(\mathcal{T}_{K_m^{\text{Milnor}}(K)})_{\text{seq}}$  of the topology  $\mathcal{T}_{K_m^{\text{Milnor}}(K)}$  on  $K_m^{\text{Milnor}}(K)$ , where  $\mathcal{T}_{K_m^{\text{Milnor}}(K)}$  denotes the topology on  $K_m^{\text{Milnor}}(K)$  induced from the higher topology  $\mathcal{T}_{K^\times}$  of  $K^\times$ .

Note that, by [14], the closed subgroup  $\Lambda_{K_m^{\text{Milnor}}(K)}$  of  $K_m^{\text{Milnor}}(K)$  is also equal to

$$\Lambda_{K_m^{\text{Milnor}}(K)} = \bigcap_{\ell \neq p} \ell K_m^{\text{Milnor}}(K),$$

where  $\ell$  runs over all primes different than  $p = \text{char}(K_0)$ . Therefore, the boundary homomorphism in Milnor  $K$ -theory

$$\partial_{i-1}^i : K_i^{\text{Milnor}}(K_i) \rightarrow K_{i-1}^{\text{Milnor}}(K_{i-1})$$

naturally induces the following morphism

$$\partial_{i-1}^i : \Lambda_{K_i^{\text{Milnor}}(K_i)} \rightarrow \Lambda_{K_{i-1}^{\text{Milnor}}(K_{i-1})},$$

and thereby defines the *boundary homomorphism in topological Milnor  $K$ -theory*

$$(\partial_{i-1}^i)^{\text{top}} : K_i^{\text{top}}(K_i) \rightarrow K_{i-1}^{\text{top}}(K_{i-1}),$$

where

$$\begin{aligned} (\partial_{i-1}^i)^{\text{top}}(\{u_1, \dots, u_{i-1}, x\}^{\text{top}}) &= (\partial_{i-1}^i)^{\text{top}}(\{u_1, \dots, u_{i-1}, x\} \pmod{\Lambda_{K_i^{\text{Milnor}}(K_i)}}) \\ &= \nu_{K_i}(x)\{\bar{u}_1, \dots, \bar{u}_{i-1}\} \pmod{\Lambda_{K_{i-1}^{\text{Milnor}}(K_{i-1})}} \\ &= \nu_{K_i}(x)\{\bar{u}_1, \dots, \bar{u}_{i-1}\}^{\text{top}}, \end{aligned}$$

for each  $u_1, \dots, u_{i-1} \in O_{K_i}^\times = U_{K_i}$  and  $x \in K_i^\times$ , where  $\bar{u}_1, \dots, \bar{u}_{i-1} \in K_{i-1}$  are defined by reduction modulo  $\mathfrak{p}_{K_i}$  of the elements  $u_1, \dots, u_{i-1}$  in  $K_i$ , for each  $i = 1, 2, \dots, n$ . Therefore, there exists a surjective homomorphism called the *(topological  $K$ -theoretic) valuation map*

$$\nu_{K_n^{\text{top}}(K)} : K_n^{\text{top}}(K) \rightarrow \mathbb{Z} \tag{5.1}$$

on  $K_n^{\text{top}}(K)$  defined by the composition

$$\nu_{K_n^{\text{top}}(K)} : K_n^{\text{top}}(K_n) \xrightarrow{(\partial_{n-1}^n)^{\text{top}}} K_{n-1}^{\text{top}}(K_{n-1}) \xrightarrow{(\partial_{n-2}^{n-1})^{\text{top}}} \dots \xrightarrow{(\partial_0^1)^{\text{top}}} K_0^{\text{top}}(K_0) = \mathbb{Z}. \tag{5.2}$$

Clearly, the valuation  $\nu_{K_n^{\text{Milnor}}(K)} : K_n^{\text{Milnor}}(K) \rightarrow \mathbb{Z}$  factors through

$$\nu_{K_n^{\text{Milnor}}(K)} : K_n^{\text{Milnor}}(K) \xrightarrow{\text{red}_{\Lambda_{K_n^{\text{Milnor}}(K)}}} K_n^{\text{top}}(K) \xrightarrow{\nu_{K_n^{\text{top}}(K)}} \mathbb{Z}$$

as the diagram

$$\begin{array}{ccccc} & & \nu_{K_n^{\text{top}}(K)} & & \\ & & \curvearrowright & & \\ & & \xrightarrow{(\partial_{n-1}^n)^{\text{top}}} & \xrightarrow{(\partial_{n-2}^{n-1})^{\text{top}}} & \xrightarrow{(\partial_0^1)^{\text{top}}} \\ K_n^{\text{top}}(K) & \xrightarrow{(\partial_{n-1}^n)^{\text{top}}} & K_{n-1}^{\text{top}}(K_{n-1}) & \xrightarrow{(\partial_{n-2}^{n-1})^{\text{top}}} & \dots \xrightarrow{(\partial_0^1)^{\text{top}}} K_0^{\text{top}}(K_0) = \mathbb{Z} \\ \uparrow \text{red}_{\Lambda_{K_n^{\text{Milnor}}(K)}} & \uparrow \text{red}_{\Lambda_{K_{n-1}^{\text{Milnor}}(K_{n-1})}} & & & \parallel \\ K_n^{\text{Milnor}}(K) & \xrightarrow{\partial_{n-1}^n} & K_{n-1}^{\text{Milnor}}(K_{n-1}) & \xrightarrow{\partial_{n-2}^{n-1}} & \dots \xrightarrow{\partial_0^1} K_0^{\text{Milnor}}(K_0) = \mathbb{Z} \\ & & \nu_{K_n^{\text{Milnor}}(K)} & & \\ & & \curvearrowleft & & \end{array}$$

is commutative. An element  $\Pi_{K_n^{\text{top}}(K)}$  of  $K_n^{\text{top}}(K)$  is called a *prime element* of  $K_n^{\text{top}}(K)$  if

$$\nu_{K_n^{\text{top}}(K)}(\Pi_{K_n^{\text{top}}(K)}) = 1.$$

Note that, a prime element  $\Pi_{K_n^{\text{top}}(K)}$  of  $K_n^{\text{top}}(K)$  can be expressed as

$$\Pi_{K_n^{\text{top}}(K)} = \{t_{1,K}, \dots, t_{n,K}\}^{\text{top}} + \varepsilon,$$

where  $\Pi_K = (t_{1,K}, \dots, t_{n,K})$  is a system of local parameters of  $K$  and the element  $\varepsilon$  lies in  $\text{Ker}(\nu_{K_n^{\text{top}}(K)})$ .

Let  $L$  be a finite extension of the  $n$ -dimensional local field  $K$ . As  $N_{L/K}^{\text{Milnor}}(\Lambda_{K_m^{\text{Milnor}}(L)}) \subseteq \Lambda_{K_m^{\text{Milnor}}(K)}$ , the norm map

$$N_{L/K}^{\text{Milnor}} : K_m^{\text{Milnor}}(L) \rightarrow K_m^{\text{Milnor}}(K)$$

induces a unique homomorphism

$$N_{L/K}^{\text{top}} : K_m^{\text{top}}(L) \rightarrow K_m^{\text{top}}(K)$$

satisfying, following [38],

$$N_{L/K}^{\text{top}}(\text{open subgroup of } K_m^{\text{top}}(L)) \subseteq \text{open subgroup of } K_m^{\text{top}}(K)$$

with the usual transitivity property; namely,  $N_{L/K}^{\text{top}} = N_{M/K}^{\text{top}} \circ N_{L/M}^{\text{top}}$  for every chain  $K \subseteq M \subseteq L$  of finite extensions of  $K$ . Moreover,

- The composition

$$K_m^{\text{top}}(K) \xrightarrow{j_{L/K}^{\text{top}}} K_m^{\text{top}}(L) \xrightarrow{N_{L/K}^{\text{top}}} K_m^{\text{top}}(K) \tag{5.3}$$

is the multiplication by  $[L : K]$  mapping;

- If  $\sigma \in \text{Aut}_K(L)$ , then

$$N_{L/K}^{\text{top}} \circ K_m^{\text{top}}(\sigma) = N_{L/K}^{\text{top}}, \tag{5.4}$$

where  $K_m^{\text{top}}(\sigma) : K_m^{\text{top}}(L) \rightarrow K_m^{\text{top}}(L)$  is the homomorphism induced by the  $K$ -automorphism  $\sigma : L \rightarrow L$ .

For any finite extension  $L$  of  $K$ , the topological  $K$ -theoretic valuation map

$$\nu_{K_n^{\text{top}}(L)} : K_n^{\text{top}}(L) \rightarrow \mathbb{Z}$$

on  $K_n^{\text{top}}(L)$  satisfies

$$\nu_{K_n^{\text{top}}(L)} = \frac{1}{f(L/K)} \nu_{K_n^{\text{top}}(K)} \circ N_{L/K}^{\text{top}},$$

where  $f(L/K) = [L_0 : K_0]$ , because the diagram

$$\begin{array}{ccc} K_n^{\text{top}}(L) & \xrightarrow{(\partial_{n-1}^n)^{\text{top}}} & K_{n-1}^{\text{top}}(L_{n-1}) \\ N_{L/K}^{\text{top}} \downarrow & & \downarrow N_{L_{n-1}/K_{n-1}}^{\text{top}} \\ K_n^{\text{top}}(K) & \xrightarrow{(\partial_{n-1}^n)^{\text{top}}} & K_{n-1}^{\text{top}}(K_{n-1}) \end{array} \tag{5.5}$$

is commutative.

Note that, the commutative diagram (5.5) naturally induces a homomorphism

$$(\partial_{n-1}^n)^{\text{top}}_* : K_n^{\text{top}}(K)/N_{L/K}^{\text{top}}(K_n^{\text{top}}(L)) \rightarrow K_{n-1}^{\text{top}}(K_{n-1})/N_{L_{n-1}/K_{n-1}}^{\text{top}}(K_{n-1}^{\text{top}}(L_{n-1}))$$

for every finite extension  $L$  of  $K$ .

The system of local parameters  $\Pi_K = (t_{1,K}, \dots, t_{n,K}) \in K^n$  of  $K$  determines a rank  $n$  discrete valuation

$$\bar{v}_K : K \rightarrow \mathbb{Z}^n \cup \{\infty\}$$

of  $K$ , which naturally determines a collection  $\left\{U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{top}}(K)\right\}_{(i_\ell, \dots, i_n)}$  of subgroups  $U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{top}}(K)$  of  $K_m^{\text{top}}(K)$ , where  $U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{top}}(K)$  is defined by the image

$$\text{red}_{\Lambda_{K_m^{\text{Milnor}}(K)}} : U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(K) \mapsto \text{red}_{\Lambda_{K_m^{\text{Milnor}}(K)}} \left( U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(K) \right)$$

in  $K_m^{\text{top}}(K)$  of  $U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(K)$  under the natural homomorphism

$$\text{red}_{\Lambda_{K_m^{\text{Milnor}}(K)}} : K_m^{\text{Milnor}}(K) \rightarrow K_m^{\text{top}}(K),$$

where  $(i_\ell, \dots, i_n) \in \mathbb{Z}^{n-\ell+1}$  satisfies  $(i_\ell, \dots, i_n) \succeq \overbrace{(0, \dots, 0)}^{(n-\ell+1)\text{-tuple}}$ , for each  $\ell$  satisfying  $1 \leq \ell \leq n$ . The collection  $\left\{U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{top}}(K)\right\}_{(i_\ell, \dots, i_n)}$  is a neighborhood basis of the identity element of  $K_m^{\text{top}}(K)$ .

In particular, in case  $\ell = n$ , the group  $U_{\bar{v}_K}^{(i_n)} K_m^{\text{top}}(K)$  is denoted by  $U_{K_n}^{i_n} K_m^{\text{top}}(K)$  for each  $i_n \in \mathbb{Z}$  satisfying  $i_n \geq 0$ . Moreover,

- if  $i_n = 0$ , the group  $U_{\bar{v}_K}^{(i_n=0)} K_m^{\text{top}}(K)$  is denoted by  $U_{K_n} K_m^{\text{top}}(K)$ ;
- if  $i_n = 1$ , the group  $U_{\bar{v}_K}^{(i_n=1)} K_m^{\text{top}}(K)$  is denoted by  $V_{K_n} K_m^{\text{top}}(K)$ .

In case  $L$  is an algebraic extension of  $K$  and  $\bar{v}_L$  is the unique extension of the rank  $n$  discrete valuation  $\bar{v}_K$  of  $K$  to  $L$ , the subgroup  $U_{\bar{v}_L}^{(i_\ell, \dots, i_n)} K_m^{\text{top}}(L)$  of  $K_m^{\text{top}}(L)$ , which is denoted by  $U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{top}}(L)$ , is defined by the image  $\text{red}_{\Lambda_{K_m^{\text{Milnor}}(L)}} \left( U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(L) \right)$  in  $K_m^{\text{top}}(L)$  of  $U_{\bar{v}_K}^{(i_\ell, \dots, i_n)} K_m^{\text{Milnor}}(L)$  under the natural homomorphism

$$\text{red}_{\Lambda_{K_m^{\text{Milnor}}(L)}} : K_m^{\text{Milnor}}(L) \rightarrow K_m^{\text{top}}(L),$$

where  $(i_\ell, \dots, i_n) \in \mathbb{Z}^{n-\ell+1}$  satisfies  $(i_\ell, \dots, i_n) \succeq \overbrace{(0, \dots, 0)}^{(n-\ell+1)\text{-tuple}}$ , for each  $\ell$  satisfying  $1 \leq \ell \leq n$ .

In particular, in case  $\ell = n$ , the group  $U_{\bar{v}_K}^{(i_n)} K_m^{\text{top}}(L)$  is denoted by  $U_{K_n}^{i_n} K_m^{\text{top}}(L)$  for each  $i_n \in \mathbb{Z}$  satisfying  $i_n \geq 0$ . Moreover,

- if  $i_n = 0$ , the group  $U_{\bar{v}_K}^{(i_n=0)} K_m^{\text{top}}(L)$  is denoted by  $U_{K_n} K_m^{\text{top}}(L)$ .
- if  $i_n = 1$ , the group  $U_{\bar{v}_K}^{(i_n=1)} K_m^{\text{top}}(L)$  is denoted by  $V_{K_n} K_m^{\text{top}}(L)$ .

The structure of  $K_n^{\text{top}}(K)$  is well-known (look at [12, 14]). In fact,

$$K_n^{\text{top}}(K) \xrightarrow{\sim} \mathbb{Z}_p \oplus V_{K_n} K_n^{\text{top}}(K) \tag{5.6}$$

where, as introduced above,  $V_{K_n} K_n^{\text{top}}(K)$  is the image of  $V_{K_n} K_n^{\text{Milnor}}(K)$  under  $\text{red}_{\Lambda_{K_n^{\text{Milnor}}(K)}}$ . Now, introduce the subset  $\mathbb{I}_{p,n}$  of  $\mathbb{Z}^n$  by

$$\mathbb{I}_{p,n} = \{ \mathbf{a} = (a_1, \dots, a_n) \in \mathbb{Z}^n : \mathbf{a} \notin (p\mathbb{Z})^n, \mathbf{0} \prec \mathbf{a} \}.$$

For each  $\mathbf{a} \in \mathbb{I}_{p,n}$ , consider the integer  $1 \leq i(\mathbf{a}) \leq n$  defined uniquely by the conditions :

- $a_{i(\mathbf{a})+1} \equiv \dots \equiv a_n \equiv 0 \pmod{p}$ ;
- $a_{i(\mathbf{a})} \not\equiv 0 \pmod{p}$ .

Let  $\theta_1, \dots, \theta_s$  be an  $\mathbb{F}_p$ -basis of the last residue field  $K_0 = \mathbb{F}_q$ , where  $q = p^s$ . Now, for each  $\mathbf{a} \in \mathbb{I}_{p,n}$  and  $1 \leq j \leq s$ , introduce the topological Steinberg symbol  $\varepsilon_{j,\mathbf{a}}$  in  $K_n^{\text{top}}(K)$  by

$$\varepsilon_{j,\mathbf{a}} := \left\{ 1 + \theta_j t_{\mathbf{a}}^{\mathbf{a}}, t_{1,K}, \dots, t_{i(\mathbf{a})-1,K}, t_{i(\mathbf{a})+1,K}, \dots, t_{n,K} \right\}^{\text{top}},$$



where  $(t_{1,K}, \dots, t_{n,K}) \in K^n$  is a system of local parameters of the  $n$ -dimensional local field  $K$ , and  $\underline{t}_K^{\mathbf{a}} := t_{1,K}^{a_1} \cdots t_{n,K}^{a_n}$ . Then, the collection  $\{\varepsilon_{j,\mathbf{a}}\}_{\substack{1 \leq j \leq s \\ \mathbf{a} \in \mathbb{I}_{p,n}}}$  is a system of free topological generators of  $V_{K_n} K_n^{\text{top}}(K)$ . Therefore, any  $\xi \in K_n^{\text{top}}(K)$  can be expressed uniquely as

$$\xi = A_o \{t_{1,K}, \dots, t_{n,K}\}^{\text{top}} + \sum_{\substack{1 \leq j \leq s \\ \mathbf{b} \in \mathbb{I}_{p,n}}} A_{j,\mathbf{b}} \varepsilon_{j,\mathbf{b}},$$

where  $A_o, A_{j,\mathbf{b}} \in \mathbb{Z}_p$  for every  $1 \leq j \leq s, \mathbf{b} \in \mathbb{I}_{p,n}$ .

For more details about topological Milnor  $K$ -groups, look at [12, 14].

### 6. Ramification theory of $n$ -dimensional local fields

If  $K$  is a non-archimedean (=1-dimensional) local field, then there exists a very solid theory, the ramification theory of the non-archimedean local field  $K$  [15]. Namely, for a finite Galois extension  $L/K$  with Galois group  $\text{Gal}(L/K) = G$ , there exists a lower filtration  $(G_i)_{i \in \mathbb{R}_{\geq -1}}$  of  $G$  defined by higher ramification subgroups  $G_i := \{\gamma \in G \mid \nu_L(\gamma(x) - x) \geq i + 1, \forall x \in O_L\}$  of  $G$  in lower numbering, for  $i \in \mathbb{R}_{\geq -1}$ . The lower filtration  $(G_i)_{i \in \mathbb{R}_{\geq -1}}$  of  $G$  behaves well with respect to “passing to the subgroups” in the sense that, for any subgroup  $H$  of  $G$ ,  $H_i = H \cap G_i$ , for every  $i \in \mathbb{R}_{\geq -1}$ . On the other hand, the lower filtration  $(G_i)_{i \in \mathbb{R}_{\geq -1}}$  of  $G$  does not behave well with respect to “taking quotients”. That is, there exists  $H$  a normal subgroup of  $G$  such that  $(G/H)_i \neq G_i H/H$  for some  $i \in \mathbb{R}_{\geq -1}$ . In fact, defining  $G^j = G_{\psi_{L/K}(j)}$ , for all  $j \in \mathbb{R}_{\geq -1}$ , where  $\psi_{L/K} : \mathbb{R}_{\geq -1} \rightarrow \mathbb{R}_{\geq -1}$  the Hasse-Herbrand function of the extension  $L/K$  is the piecewise linear increasing function with inverse  $\psi_{L/K}^{-1} = \phi_{L/K} : \mathbb{R}_{\geq -1} \rightarrow \mathbb{R}_{\geq -1}$  defined by  $\phi_{L/K}(i) = \int_0^i \frac{dt}{[G_0 : G_t]}$  for  $i \in \mathbb{R}_{\geq -1}$  produces the upper filtration  $(G^j)_{j \in \mathbb{R}_{\geq -1}}$  of  $G$  defined by higher ramification subgroups  $G^j$  of  $G$  in upper numbering, for  $j \in \mathbb{R}_{\geq -1}$ , which behaves well with respect to “taking quotients” now. That is, for any normal subgroup  $H$  of  $G$ ,  $(G/H)^j = G^j H/H$  for every  $j \in \mathbb{R}_{\geq -1}$ . Thus, higher ramification subgroups  $G^j$  of  $G$  in upper numbering, for  $j \in \mathbb{R}_{\geq -1}$ , can be used to define higher ramification subgroups  $G_K^j$  of the absolute Galois group  $G_K$  in upper numbering, for  $j \in \mathbb{R}_{\geq -1}$ .

If the finite extension  $L/K$  is furthermore assumed to be abelian, the most important property of the upper filtration  $(G^j)_{j \in \mathbb{R}_{\geq -1}}$  of  $G$  is that the local abelian Hasse reciprocity law

$$\text{Rec}_{L/K^*} : K^\times / N_{L/K}(L^\times) \xrightarrow{\sim} G$$

of the abelian extension  $L/K$  maps the subgroup  $U_K^j / (U_K^j \cap N_{L/K}(L^\times))$  of  $K^\times / N_{L/K}(L^\times)$  to the higher ramification subgroup  $G^j$  of  $G$  in upper numbering for every  $j \in \mathbb{R}_{\geq -1}$ . Note that, both filtrations  $(U_K^j)_{j \in \mathbb{R}_{\geq -1}}$  and  $(G^j)_{j \in \mathbb{R}_{\geq -1}}$  form bases of neighbourhoods of  $K^\times$  and of  $G$  respectively.

Therefore, in principle, we should be able to define an upper ramification theory on a “valued field”  $K$  in the situations where some class field theory for the valued field  $K$  is available. For instance, using this principle, Lomadze [35] initiated the ramification theory of abelian extensions of 2-dimensional local fields of characteristic  $p > 0$  by defining an upper filtration on corresponding abelian Galois groups using local abelian 2-dimensional class field theory, which is the subject of Section 7. On the other hand, if  $K$  is an  $n$ -dimensional local field with  $n \geq 2$ , we observe that there are two different, yet not totally unrelated, valuations on  $K$ . Namely, there exists a rank  $n$  discrete valuation  $\bar{\nu}_K : K \rightarrow \mathbb{Z}^n \cup \{\infty\}$  defined on  $K$ , and also a discrete valuation  $\nu_{K_n} : K_n \rightarrow \mathbb{Z} \cup \{\infty\}$  defined on  $K_n = K$ . So, there are two “seemingly different” valued field structures on  $K$ . Therefore, it is natural to expect different types of ramification theories on  $K$ , which are:

- Zhukov type ramification theory on  $K$  [49, 51], which generalizes [23, 35];
- Abbes-Saito type ramification theory on  $K$  [1, 49], which generalizes [19, 24].

In what follows, we shall choose Abbes-Saito type ramification theory on the  $n$ -dimensional local field  $K$ . In fact, in Abbes-Saito theory on  $K$ , there are two filtrations  $G_{K,\text{nlog}}^\bullet$  and  $G_{K,\text{log}}^\bullet$  on the absolute Galois group  $G_K$  of  $K$  both indexed by the set of non-negative rational numbers  $\mathbb{Q}_{\geq 0}$ , called the upper non-logarithmic ramification filtration of  $G_K$  and the upper logarithmic ramification filtration of  $G_K$ , respectively [49, Subsection 6.1]. Moreover, specializing only to abelian extensions of  $K$ , Abbes-Saito non-logarithmic ramification theory of abelian extensions of  $K$  coincides with the ramification theory of Kato, which is defined only for abelian extensions of  $K$  [28] and which also behaves well with respect to the existing local abelian Kato-Parshin reciprocity law of  $K^{**}$  [24], the main subject of this review.

The ramification theory of Kato on the  $n$ -dimensional local field  $K$ , which is modelled after the work of Hyodo [19], first constructs a conductor  $\text{KSw}(\chi)$  for  $\chi \in H^1(K) = \text{Hom}(G_K^{\text{ab}}, \mathbb{Q}/\mathbb{Z})$ , called the Kato-Swan conductor for a 1-dimensional representation  $\chi : G_K^{\text{ab}} \rightarrow \mathbb{Q}/\mathbb{Z}$  of  $G_K^{\text{ab}}$ , where  $K$  is a complete discrete valuation field with *any* residue field  $\kappa_K$ . The conductor  $\text{KSw}(\chi)$  for the 1-dimensional representation  $\chi : G_K^{\text{ab}} \rightarrow \mathbb{Q}/\mathbb{Z}$  of  $G_K^{\text{ab}}$  is characterized by the smallest integer  $f \geq 0$  satisfying

$$U_K^{f+1} \subseteq N_{L_\chi/K} L_\chi^\times,$$

where  $L_\chi/K$  is the subextension of  $K^{\text{ab}}/K$  fixed by  $\chi : G_K^{\text{ab}} \rightarrow \mathbb{Q}/\mathbb{Z}$ . So, there exists an upper filtration  $G_K^{\text{ab},\bullet}$  on  $G_K^{\text{ab}}$ , called the Kato filtration on  $G_K^{\text{ab}}$ , satisfying

$$\text{KSw}(\chi) = \inf\{a > 0 \mid G_K^{\text{ab},a} \subseteq \text{Ker}(\chi)\},$$

for any  $\chi : G_K^{\text{ab}} \rightarrow \mathbb{Q}/\mathbb{Z}$ .

### 7. Local abelian $K$ -theoretic class field theory of Kato-Parshin

Fix a separable closure  $K^{\text{sep}}$  of the  $n$ -dimensional local field  $K$  and let  $K^{\text{ab}} \subset K^{\text{sep}}$  be the maximal abelian extension of  $K$  inside  $K^{\text{sep}}$ .

The *profinite completion*  $\widehat{K}_n^{\text{top}}(K)$  of  $K_n^{\text{top}}(K)$  with respect to the norm map is defined by the projective limit

$$\widehat{K}_n^{\text{top}}(K) := \varprojlim_E K_n^{\text{top}}(K)/N_{E/K}^{\text{top}}(K_n^{\text{top}}(E)),$$

where  $E$  runs over all finite extensions of the  $n$ -dimensional local field  $K$  inside  $K^{\text{ab}}$ , with respect to the connecting morphisms

$$K_n^{\text{top}}(K)/N_{E/K}^{\text{top}}(K_n^{\text{top}}(E)) \xleftarrow{c_E^{E'}} K_n^{\text{top}}(K)/N_{E'/K}^{\text{top}}(K_n^{\text{top}}(E'))$$

defined for any two finite extensions  $E$  and  $E'$  of  $K$  inside  $K^{\text{ab}}$  satisfying  $E \subseteq E'$  by

$$\alpha \pmod{N_{E/K}^{\text{top}}(K_n^{\text{top}}(E))} \xleftarrow{c_E^{E'}} \alpha \pmod{N_{E'/K}^{\text{top}}(K_n^{\text{top}}(E'))},$$

for every  $\alpha \in K_n^{\text{top}}(K)$ .

Given any finite extension  $L$  of  $K$ , then the homomorphism  $N_{L/K}^{\text{top}} : K_n^{\text{top}}(L) \rightarrow K_n^{\text{top}}(K)$  extends to profinite completions, and defines a continuous homomorphism

$$\widehat{N}_{L/K}^{\text{top}} : \widehat{K}_n^{\text{top}}(L) \rightarrow \widehat{K}_n^{\text{top}}(K)$$

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\*\*So, it is natural to expect that Abbes-Saito type non-logarithmic ramification theory on the  $n$ -dimensional local field  $K$  behaves well with respect to the “*hypothetical*” local non-abelian Kato-Parshin reciprocity law of  $K$ , which still needs construction [20].

satisfying the transitivity condition, as the diagram

$$\begin{array}{ccc}
 \mathbb{K}_n^{\text{top}}(L)/\mathbb{N}_{T/L}^{\text{top}}(\mathbb{K}_n^{\text{top}}(T)) & \xleftarrow{c_T^{T'}} & \mathbb{K}_n^{\text{top}}(L)/\mathbb{N}_{T'/L}^{\text{top}}(\mathbb{K}_n^{\text{top}}(T')) \\
 \downarrow \mathbb{N}_{L/K_*}^{\text{top}} & & \downarrow \mathbb{N}_{L/K_*}^{\text{top}} \\
 \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{T \cap K^{\text{ab}}/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(T \cap K^{\text{ab}})) & \xleftarrow{c_{T \cap K^{\text{ab}}}^{T' \cap K^{\text{ab}}}} & \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{T' \cap K^{\text{ab}}/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(T' \cap K^{\text{ab}}))
 \end{array}$$

is commutative, where the vertical arrows  $\mathbb{N}_{L/K_*}^{\text{top}}$  are the induced morphisms from  $\mathbb{N}_{L/K}^{\text{top}}$ , for each finite extension  $T$  and  $T'$  of  $L$  inside  $L^{\text{ab}}$  satisfying  $T \subseteq T'$ .

Recall that, local abelian  $n$ -dimensional  $K$ -theoretic class field theory for  $K$  establishes a unique natural algebraic and topological [38] isomorphism

$$\text{Rec}_K : \widehat{\mathbb{K}}_n^{\text{top}}(K) \xrightarrow{\sim} G_K^{\text{ab}},$$

called the *local abelian  $n$ -dimensional Kato-Parshin reciprocity law of  $K$* , which, among other things, has the following properties :

- (1) For every abelian extension  $L/K$ , the surjective homomorphism

$$\text{Rec}_{L/K} : \widehat{\mathbb{K}}_n^{\text{top}}(K) \xrightarrow{\text{Rec}_K} G_K^{\text{ab}} \xrightarrow{\text{res}_L} \text{Gal}(L/K)$$

has kernel

$$\text{Ker}(\text{Rec}_{L/K}) = \widehat{\mathbb{N}}_{L/K}^{\text{top}}(\widehat{\mathbb{K}}_n^{\text{top}}(L)) = \bigcap_{\substack{K \subseteq F \subseteq L \\ \text{finite}}} \widehat{\mathbb{N}}_{F/K}^{\text{top}}(\widehat{\mathbb{K}}_n^{\text{top}}(F)) =: \mathfrak{N}_{L/K}^{\text{top}},$$

and induces a topological group isomorphism

$$\text{Rec}_{L/K_*} : \widehat{\mathbb{K}}_n^{\text{top}}(K)/\mathfrak{N}_{L/K}^{\text{top}} \xrightarrow{\sim} \text{Gal}(L/K)$$

called the *local abelian  $n$ -dimensional Kato-Parshin reciprocity law of  $L/K$* ;

- (2) (Existence theorem). For each abelian extension  $L/K$ , the mapping

$$L/K \mapsto \mathfrak{N}_{L/K}^{\text{top}}$$

defines a bijective correspondence

$$\{L/K : \text{abelian}\} \rightleftarrows \{\mathfrak{N} : \mathfrak{N} \underset{\text{“closed”}}{\leq} \widehat{\mathbb{K}}_n^{\text{top}}(K)\}.$$

For Kato’s approach to the existence theorem, look at [25];

- (3) (Functoriality). For any finite extension  $L/K$ ,

$$\text{Rec}_L(x) \mid_{K^{\text{ab}}} = \text{Rec}_K \left( \widehat{\mathbb{N}}_{L/K}^{\text{top}}(x) \right),$$

for every  $x \in \widehat{\mathbb{K}}_n^{\text{top}}(L)$ , and

$$\text{Rec}_L \left( \widehat{j}_{L/K}^{\text{top}}(x) \right) = V_{L/K}(\text{Rec}_K(x)),$$

for every  $x \in \widehat{\mathbb{K}}_n^{\text{top}}(K)$ . That is, the following squares

$$\begin{array}{ccc}
 \widehat{\mathbb{K}}_n^{\text{top}}(L) & \xrightarrow{\text{Rec}_L} & G_L^{\text{ab}} \\
 \widehat{\mathbb{N}}_{L/K}^{\text{top}} \downarrow & & \downarrow \text{res}_{K^{\text{ab}}} \\
 \widehat{\mathbb{K}}_n^{\text{top}}(K) & \xrightarrow{\text{Rec}_K} & G_K^{\text{ab}}
 \end{array}
 \qquad
 \begin{array}{ccc}
 \widehat{\mathbb{K}}_n^{\text{top}}(L) & \xrightarrow{\text{Rec}_L} & G_L^{\text{ab}} \\
 \widehat{j}_{L/K}^{\text{top}} \uparrow & & \uparrow V_{L/K} \text{ : Verlagerung} \\
 \widehat{\mathbb{K}}_n^{\text{top}}(K) & \xrightarrow{\text{Rec}_K} & G_K^{\text{ab}}
 \end{array}$$

are commutative;

(4) The square

$$\begin{array}{ccc}
 \widehat{K}_n^{\text{top}}(K) & \xrightarrow{\text{Rec}_K} & G_K^{\text{ab}} \\
 \widehat{(\partial_{n-1}^n)}^{\text{top}} \downarrow & & \downarrow \\
 \widehat{K}_{n-1}^{\text{top}}(K_{n-1}) & \xrightarrow{\text{Rec}_{K_{n-1}}} & G_{K_{n-1}}^{\text{ab}}
 \end{array}$$

is commutative, where the left-vertical arrow

$$\widehat{(\partial_{n-1}^n)}^{\text{top}} : \widehat{K}_n^{\text{top}}(K) \rightarrow \widehat{K}_{n-1}^{\text{top}}(K_{n-1})$$

is defined by the commutativity of the diagram

$$\begin{array}{ccc}
 K_n^{\text{top}}(K)/N_{E'/K}^{\text{top}}(K_n^{\text{top}}(E')) & \xrightarrow{(\partial_{n-1}^n)^*} & K_{n-1}^{\text{top}}(K_{n-1})/N_{E'_{n-1}/K_{n-1}}^{\text{top}}(K_n^{\text{top}}(E'_{n-1})) \\
 \downarrow c_E^{E'} & & \downarrow c_{E_{n-1}}^{E'_{n-1}} \\
 K_n^{\text{top}}(K)/N_{E/K}^{\text{top}}(K_n^{\text{top}}(E)) & \xrightarrow{(\partial_{n-1}^n)^*} & K_{n-1}^{\text{top}}(K_{n-1})/N_{E_{n-1}/K_{n-1}}^{\text{top}}(K_n^{\text{top}}(E_{n-1}))
 \end{array}$$

where  $E$  and  $E'$  are finite extensions of  $K$  inside  $K^{\text{ab}}$  satisfying  $E \subseteq E'$ ;

- (5) (Ramification theoretic properties) Let  $\chi \in H^1(K)$ ; that is, let  $\chi : G_K^{\text{ab}} \rightarrow \mathbb{Q}/\mathbb{Z}$  be a character of  $G_K^{\text{ab}}$ , and let  $L_\chi$  be the finite extension of  $K$  in  $K^{\text{ab}}$  such that  $\text{Ker}(\chi) = \text{Gal}(K^{\text{ab}}/L_\chi)$ . The Kato-Swan conductor  $\text{KSw}(\chi)$  of the character  $\chi : G_K^{\text{ab}} \rightarrow \mathbb{Q}/\mathbb{Z}$ , defined in Section 6 as the smallest integer  $f \geq 0$  satisfying  $U_K^{f+1} \subseteq N_{L_\chi/K} L_\chi^\times$ , is furthermore the smallest integer  $f \geq 0$  such that

$$U_{\bar{v}_K}^{(i_1, \dots, i_n)} K_n^{\text{top}}(K) \subseteq \mathfrak{N}_{L_\chi/K}^{\text{top}},$$

whenever  $i_n > f$ .

For details about local abelian  $K$ -theoretic class field theory, look at [7–9], [22], and [42, 44, 45].

There are four main approaches to construct the local abelian  $n$ -dimensional  $K$ -theoretic class field theory:

- The explicit approach of Fesenko [7–9] is based on extending the local abelian Hasse reciprocity law construction of Neukirch-Iwasawa [39, 40] and on extending the local norm residue symbol construction of Hazewinkel [17] to the setting of  $n$ -dimensional local fields;
- Kato’s approach [22, 25] is cohomological and extends Tate’s construction of the local abelian Hasse reciprocity law [48];
- Koya on the other hand [30–32], using Lichtenbaum’s complexes  $\mathbb{Z}(i)$  [34], generalizes class formation approach of local abelian class field theory to construct the local abelian 2-dimensional class field theory, which is extended and streamlined by Spiess [47] to the  $n$ -dimensional setting;
- The final approach, due to Parshin [42, 44, 45], which is the genesis of the whole program, generalizes Kawada-Satake construction of local abelian class field theory [29] to construct the local abelian  $n$ -dimensional class field theory in positive characteristic.

In this work we shall review Fesenko’s explicit approach, where as stated above, the idea is to generalize the classical Neukirch-Iwasawa and Hazewinkel methods to higher-dimensional local fields, which will be recalled next with extra care following closely [10, 11, 13]. The explicit approach also has the advantage of extending local abelian  $n$ -dimensional

$K$ -theoretic class field theory to the non-abelian setting; namely, constructing the local non-abelian  $n$ -dimensional  $K$ -theoretic class field theory [20].

As first recollection, Fesenko’s extension of Neukirch-Iwasawa method to  $n$ -dimensional local fields can be very briefly summarized as follows: Let  $L$  denote a finite Galois extension of the  $n$ -dimensional local field  $K$  in a fixed  $K^{\text{sep}}$ . As usual, let  $L^{\text{pur}} = LK^{\text{pur}}$ . For any  $\sigma \in \text{Gal}(L/K)$ , let  $\tilde{\sigma}$  be any element of  $\text{Gal}(L^{\text{pur}}/K)$  such that:

- $\tilde{\sigma}|_L = \sigma$ ;
- $\tilde{\sigma}|_{K^{\text{pur}}} = \varphi_K^i$  for some  $i \in \mathbb{Z}$ .

The  $n$ -dimensional Neukirch-Iwasawa map

$$\mathcal{N}_{L/K} : \text{Gal}(L/K) \rightarrow \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{L/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(L))$$

of  $L/K$  is then defined by

$$\mathcal{N}_{L/K} : \sigma \mapsto \mathbb{N}_{\Sigma/K}^{\text{top}}(\Pi_{\mathbb{K}_n^{\text{top}}(\Sigma)}) \pmod{\mathbb{N}_{L/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(L))},$$

where  $\Sigma$  denotes the fixed field of  $\tilde{\sigma}$  and  $\Pi_{\mathbb{K}_n^{\text{top}}(\Sigma)}$  any prime element of  $\mathbb{K}_n^{\text{top}}(\Sigma)$ . This map does not depend on the choice of lifting  $\tilde{\sigma}$  of  $\sigma$  to  $L^{\text{pur}}$  and to the choice of prime element  $\Pi_{\mathbb{K}_n^{\text{top}}(\Sigma)}$  of  $\mathbb{K}_n^{\text{top}}(\Sigma)$ . Moreover, the  $n$ -dimensional Neukirch-Iwasawa map  $\mathcal{N}_{L/K} : \text{Gal}(L/K) \rightarrow \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{L/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(L))$  of  $L/K$  induces a topological group homomorphism

$$\mathcal{N}_{L/K}^{\text{ab}} : \text{Gal}(L/K)^{\text{ab}} \rightarrow \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{L/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(L)),$$

which is actually the inverse of the local abelian  $n$ -dimensional reciprocity law of  $L/K$ .

As second recollection, Fesenko’s generalization of Hazewinkel’s method to  $n$ -dimensional local fields can be sketched as follows: First assume that the  $n$ -dimensional local field  $K$  is of positive characteristic, which is the easier case, as the Galois descent for  $\mathbb{K}_*^{\text{top}}$ -groups holds. Let  $L$  denote a finite Galois extension of  $K$  in a fixed  $K^{\text{sep}}$ , and let  $K^{\text{pur}}$  denote the maximal purely unramified extension of  $K$  in  $K^{\text{sep}}$ . Assume further that  $L/K$  is linearly disjoint with  $K^{\text{pur}}/K$ ; that is, the extension  $L/K$  is totally ramified by (2.1). Recall that, the  $n^{\text{th}}$  topological Milnor  $K$ -group  $\mathbb{K}_n^{\text{top}}(K^{\text{pur}})$  of  $K^{\text{pur}}$  is defined by the direct limit

$$\mathbb{K}_n^{\text{top}}(K^{\text{pur}}) = \varinjlim_{K'} \mathbb{K}_n^{\text{top}}(K'),$$

where  $K'$  runs over all finite extensions of  $K$  in  $K^{\text{pur}}$ , with respect to the connecting morphisms

$$j_{K''/K'}^{\text{top}} : \mathbb{K}_n^{\text{top}}(K') \rightarrow \mathbb{K}_n^{\text{top}}(K'')$$

defined for any two finite extensions  $K'$  and  $K''$  of  $K$  inside  $K^{\text{pur}}$  satisfying  $K' \subseteq K''$ . Introduce the group  $\mathbb{K}_n^{\text{top}}(L^{\text{pur}})$  similarly and define a subgroup  $V(L/K)$  of  $\mathbb{K}_n^{\text{top}}(L^{\text{pur}})$  by

$$V(L/K) = \left\langle \sigma(\alpha) - \alpha \mid \sigma \in \text{Gal}(L^{\text{pur}}/K^{\text{pur}}), \alpha \in V_{K_n} \mathbb{K}_n^{\text{top}}(L^{\text{pur}}) \right\rangle.$$

Then  $V(L/K) \subseteq \text{Ker}(\mathbb{N}_{L^{\text{pur}}/K^{\text{pur}}}^{\text{top}})$  and the norm map  $\mathbb{N}_{L^{\text{pur}}/K^{\text{pur}}}^{\text{top}} : \mathbb{K}_n^{\text{top}}(L^{\text{pur}}) \rightarrow \mathbb{K}_n^{\text{top}}(K^{\text{pur}})$ , which is surjective, induces a morphism

$$\mathbb{N}_{L^{\text{pur}}/K^{\text{pur}}}^{\text{top}} : \mathbb{K}_n^{\text{top}}(L^{\text{pur}})/V(L/K) \rightarrow \mathbb{K}_n^{\text{top}}(K^{\text{pur}})$$

sitting in the short exact sequence

$$1 \rightarrow \text{Gal}(L^{\text{pur}}/K^{\text{pur}}) \xrightarrow{c} \mathbb{K}_n^{\text{top}}(L^{\text{pur}})/V(L/K) \xrightarrow{\mathbb{N}_{L^{\text{pur}}/K^{\text{pur}}}^{\text{top}}} \mathbb{K}_n^{\text{top}}(K^{\text{pur}}) \rightarrow 0, \quad (7.1)$$

where the arrow

$$c : \text{Gal}(L^{\text{pur}}/K^{\text{pur}}) \rightarrow \mathbb{K}_n^{\text{top}}(L^{\text{pur}})/V(L/K)$$

is defined by

$$c(\sigma) = \sigma(\Pi_{\mathbb{K}_n^{\text{top}}(L^{\text{pur}})}) - \Pi_{\mathbb{K}_n^{\text{top}}(L^{\text{pur}})} \pmod{V(L/K)},$$

for every  $\sigma \in \text{Gal}(L^{\text{pur}}/K^{\text{pur}})$ , which is independent of the choice of  $\Pi_{K_n^{\text{top}}(L^{\text{pur}})}$ . Now, for  $\varepsilon \in \text{Ker}(\nu_{K_n^{\text{top}}(K)})$  there exists  $\eta_\varepsilon \in K_n^{\text{top}}(L^{\text{pur}})$  such that  $\varepsilon = N_{L^{\text{pur}}/K^{\text{pur}}}^{\text{top}}(\eta_\varepsilon)$ . Let  $\varphi : L^{\text{pur}} \rightarrow L^{\text{pur}}$  denote a lifting of the Frobenius automorphism  $\varphi_K : K^{\text{pur}} \rightarrow K^{\text{pur}}$  of  $K^{\text{pur}}$  to  $L^{\text{pur}}$ . Then,  $\varphi(\eta_\varepsilon) - \eta_\varepsilon \pmod{V(L/K)} \in \text{Ker}(N_{L^{\text{pur}}/K^{\text{pur}}}^{\text{top}})$  and as the sequence (7.1) is exact, there exists  $\tilde{\sigma}_\varepsilon \in \text{Gal}(L^{\text{pur}}/K^{\text{pur}})$  so that

$$c(\tilde{\sigma}_\varepsilon) = \tilde{\sigma}_\varepsilon(\Pi_{K_n^{\text{top}}(L^{\text{pur}})}) - \Pi_{K_n^{\text{top}}(L^{\text{pur}})} \pmod{V(L/K)} = \varphi(\eta_\varepsilon) - \eta_\varepsilon \pmod{V(L/K)}.$$

Then, there exists a unique and well-defined continuous homomorphism

$$\mathcal{H}_{L/K} : K_n^{\text{top}}(K)/N_{L/K}^{\text{top}}(K_n^{\text{top}}(L)) \rightarrow \text{Gal}(L/K)^{\text{ab}}$$

satisfying

$$\mathcal{H}_{L/K} : \varepsilon \pmod{N_{L/K}^{\text{top}}(K_n^{\text{top}}(L))} \mapsto \tilde{\sigma}_\varepsilon^{-1} \upharpoonright_{L \cap K^{\text{ab}}},$$

for all  $\varepsilon \in \text{Ker}(\nu_{K_n^{\text{top}}(K)})$ , called the  $n$ -dimensional Hazewinkel map of  $L/K$ , where  $L/K$  is a finite Galois extension linearly disjoint with  $K^{\text{pur}}/K$ .

Let  $L/K$  denote a finite Galois extension which is linearly disjoint with  $K^{\text{pur}}/K$ , where  $\text{char}(K) > 0$ . It turns out that, the  $n$ -dimensional Neukirch-Iwasawa map of  $L/K$  and the  $n$ -dimensional Hazewinkel map of  $L/K$  are inverses of each other; that is,

$$\mathcal{H}_{L/K} \circ \mathcal{N}_{L/K}^{\text{ab}} = \text{Id}_{\text{Gal}(L/K)^{\text{ab}}} \text{ and } \mathcal{N}_{L/K}^{\text{ab}} \circ \mathcal{H}_{L/K} = \text{Id}_{K_n^{\text{top}}(K)/N_{L/K}^{\text{top}}(K_n^{\text{top}}(L))}.$$

In case  $\text{char}(K) = 0$ , unfortunately the construction sketched for the positive characteristic case does not work for  $p$ -extensions  $L$  over  $K$  in general. However, there is a method to overcome this difficulty. In fact, there is a special class of  $p$ -extensions  $L$  over  $K$ , called strong Artin-Schreier trees [10, 11, 13], where the construction outlined for  $\text{char}. > 0$  works perfectly well. In fact, we have the short exact sequence (7.1) for strong Artin-Schreier trees. That is, if  $L/K$  is a strong Artin-Schreier tree, then the following sequence

$$1 \rightarrow \text{Gal}(L/K) \xrightarrow{c} V_{K_n} K_n^{\text{top}}(L^{\text{pur}})/V(L/K) \xrightarrow{N_{L^{\text{pur}}/K^{\text{pur}}}^{\text{top}}} V_{K_n} K_n^{\text{top}}(K^{\text{pur}}) \rightarrow 0, \tag{7.2}$$

is exact. Therefore, for a finite strong Artin-Schreier tree  $L/K$  linearly disjoint with  $K^{\text{pur}}/K$ ; that is the extension  $L/K$  is totally ramified by (2.1), there exists a unique and well-defined continuous homomorphism

$$\mathcal{H}_{L/K} : V_{K_n} K_n^{\text{top}}(K)/N_{L/K}^{\text{top}}(V_{K_n} K_n^{\text{top}}(L)) \rightarrow \text{Gal}(L/K)^{\text{ab}},$$

the  $n$ -dimensional Hazewinkel map of  $L/K$ , constructed as in the  $\text{char}. > 0$  case, which further satisfies

$$\mathcal{H}_{L/K} \circ \mathcal{N}_{L/K}^{\text{ab}} = \text{Id}_{\text{Gal}(L/K)^{\text{ab}}}. \tag{7.3}$$

Therefore, if  $L/K$  is a finite strong Artin-Schreier tree linearly disjoint with  $K^{\text{pur}}/K$ , then the continuous homomorphism

$$\mathcal{H}_{L/K} : V_{K_n} K_n^{\text{top}}(K)/N_{L/K}^{\text{top}}(V_{K_n} K_n^{\text{top}}(L)) \rightarrow \text{Gal}(L/K)^{\text{ab}}$$

is a surjection, and the continuous homomorphism

$$\mathcal{N}_{L/K}^{\text{ab}} : \text{Gal}(L/K)^{\text{ab}} \rightarrow K_n^{\text{top}}(K)/N_{L/K}^{\text{top}}(K_n^{\text{top}}(L))$$

is an injection. Now, the class of all strong Artin-Schreier trees over  $K$  is “dense” in the class of all  $p$ -extensions of  $K$  in the sense that, for any totally ramified finite Galois  $p$ -extension  $L/K$ , there exists a totally ramified finite  $p$ -extension  $Q_L/K$  such that  $LQ_L/Q_L$  is a strong Artin-Schreier tree and  $L^{\text{pur}} \cap Q_L^{\text{pur}} = K^{\text{pur}}$ . So let  $L/K$  be a totally ramified finite Galois  $p$ -extension. Then,  $L^{\text{pur}} \cap Q_L^{\text{pur}} = K^{\text{pur}}$  implies that  $L \cap Q_L = K$ , so the Galois extension  $L/K$  and the  $p$ -extension  $Q_L/K$  are linearly disjoint. Therefore, the restriction

map  $\text{Res}_L^{LQ_L} : \text{Gal}(LQ_L/Q_K) \xrightarrow{\sim} \text{Gal}(L/K)$  is an isomorphism of profinite groups, and the following square

$$\begin{CD} \text{Gal}(LQ_L/Q_L)^{\text{ab}} @>{\mathcal{N}_{LQ_L/Q_L}^{\text{ab}}}>> \mathbb{K}_n^{\text{top}}(Q_L)/\mathbb{N}_{LQ_L/Q_L}^{\text{top}}(\mathbb{K}_n^{\text{top}}(LQ_L)) \\ @V{\text{Res}_L^{LQ_L}}VV \wr @VV{\mathbb{N}_{Q_L/K}^{\text{top}}}V \\ \text{Gal}(L/K)^{\text{ab}} @>{\mathcal{N}_{L/K}^{\text{ab}}}>> \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{L/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(L)) \end{CD}$$

is commutative. Therefore,

$$\mathcal{N}_{L/K}^{\text{ab}} : \text{Gal}(L/K)^{\text{ab}} \rightarrow \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{L/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(L))$$

is an injective homomorphism of topological groups, since the Neukirch-Iwasawa map

$$\mathcal{N}_{LQ_L/Q_L}^{\text{ab}} : \text{Gal}(LQ_L/Q_L)^{\text{ab}} \rightarrow \mathbb{K}_n^{\text{top}}(Q_L)/\mathbb{N}_{LQ_L/Q_L}^{\text{top}}(\mathbb{K}_n^{\text{top}}(LQ_L))$$

of  $LQ_L/Q_L$  is an injective arrow by equality (7.3) as  $LQ_L/Q_L$  is a finite strong Artin-Schreier tree linearly disjoint with  $Q_L^{\text{pur}}/Q_L$  <sup>††</sup>. The surjectivity of the  $n$ -dimensional Neukirch-Iwasawa map

$$\mathcal{H}_{L/K}^{\text{ab}} : \text{Gal}(L/K)^{\text{ab}} \rightarrow \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{L/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(L))$$

of  $L/K$  follows via induction on the degree  $[L : K]$ .

Now, for a finite Galois  $p$ -extension  $L/K$  which is linearly disjoint with  $K^{\text{pur}}/K$ , where  $\text{char}(K) = 0$ , the  $n$ -dimensional Hazewinkel map

$$\mathcal{H}_{L/K} : \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{L/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(L)) \rightarrow \text{Gal}(L/K)^{\text{ab}},$$

of  $L/K$  is then defined as the inverse of the  $n$ -dimensional Neukirch-Iwasawa map

$$\mathcal{N}_{L/K}^{\text{ab}} : \text{Gal}(L/K)^{\text{ab}} \rightarrow \mathbb{K}_n^{\text{top}}(K)/\mathbb{N}_{L/K}^{\text{top}}(\mathbb{K}_n^{\text{top}}(L))$$

of  $L/K$ .

This completes the review of Fesenko’s constructive local abelian higher-dimensional class field theory following [10, 11, 13].

<sup>††</sup>It suffices to prove that  $LQ_L \cap Q_L^{\text{pur}} = LQ_L \cap K^{\text{pur}}Q_L = Q_L$ . Let  $q \in Q_L$  be a primitive element over  $K$ ; namely, let  $Q_L = K(q)$ . Let  $B = \{1, q, \dots, q^{s-1}\}$  be a basis of the  $K$ -vector space  $Q_L$ . As  $L \cap Q_L = K$ , the extensions  $Q_L/K$  and  $L/K$  are linearly disjoint. Therefore,  $B$  is a basis of the  $L$ -vector space  $LQ_L$ . Likewise,  $B$  is a basis of the  $K^{\text{pur}}$ -vector space  $K^{\text{pur}}Q_L = Q_L^{\text{pur}}$  since the extension  $Q_L/K$  is totally ramified. Now, let  $a \in LQ_L \cap K^{\text{pur}}Q_L$ . Then there exists unique  $\lambda_0, \dots, \lambda_{s-1} \in L$  and there exists unique  $\kappa_0, \dots, \kappa_{s-1} \in K^{\text{pur}}$  such that

$$a = \lambda_0 + \lambda_1 q + \dots + \lambda_{s-1} q^{s-1} = \kappa_0 + \kappa_1 q + \dots + \kappa_{s-1} q^{s-1}.$$

Therefore,

$$(\lambda_0 - \kappa_0) + (\lambda_1 - \kappa_1)q + \dots + (\lambda_{s-1} - \kappa_{s-1})q^{s-1} = 0.$$

Now, as  $Q_L/K$  and  $K^{\text{pur}}/K$  are linearly disjoint, it follows that  $L^{\text{pur}} \cap Q_L^{\text{pur}} = K^{\text{pur}} \Rightarrow L^{\text{pur}} \cap Q_L = K$ . Thus,  $L^{\text{pur}}/K$  and  $Q_L/K$  are linearly disjoint, which implies that the  $K$ -basis  $B$  of  $Q_L$  is also an  $L^{\text{pur}}$ -basis of  $(LQ_L)^{\text{pur}} = K^{\text{pur}}LQ_L$ . Therefore,

$$\lambda_0 - \kappa_0 = \dots = \lambda_{s-1} - \kappa_{s-1} = 0 \Rightarrow \lambda_0 = \kappa_0; \dots; \lambda_{s-1} = \kappa_{s-1}.$$

The extension  $L/K$  is totally ramified. Therefore,  $\lambda_0, \dots, \lambda_{s-1} \in K$  and  $a = \lambda_0 + \lambda_1 q + \dots + \lambda_{s-1} q^{s-1} \in Q_L$ , which completes the proof.

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