

## RESTRICTIVE SEMIGROUPS OF HOLOMORPHIC ENDOMORPHISMS ON RIEMANN SURFACES

AYHAN ŞERBETÇİ and İ.KAYA ÖZKIN

*Department of Mathematics, Faculty of Science, University of Ankara, Ankara, TURKEY*

(Received April 15, 1997; Accepted June 9, 1997)

### ABSTRACT

Let  $R$  be a Riemann surface and  $X$  be any nonempty subset of  $R$ .  $E(R, X)$  denotes the semigroup, under composition, of all holomorphic selfmaps of  $R$  which carry  $X$  into  $X$  and is referred to as a restrictive semigroup of holomorphic endomorphisms. Let  $R_1, R_2$  be Riemann surfaces which have bounded nonconstant holomorphic functions and  $X, Y$  be any subsets of  $R_1, R_2$ , respectively. If  $\phi : E(R_1, X) \rightarrow E(R_2, Y)$  is an isomorphism of semigroups, then there exist a conformal (or anticonformal) isomorphism  $\psi : X \rightarrow Y$  such that  $\phi(f) = \psi \circ f \circ \psi^{-1}$  for every  $f \in E(R_1, X)$ .

### 1. INTRODUCTION

Let  $R$  be a Riemann surface and  $X$  be a nonempty subset of  $R$ . The semigroup, under composition, of all holomorphic selfmaps of  $R$  which carry  $X$  into  $X$  is denoted by  $E(R, X)$  and is referred to as a restrictive semigroup.  $E(R, X)$  is clearly a subsemigroup of  $E(R)$  and it coincides with  $E(R)$  precisely when  $X$  is all of  $R$ .

A well-known theorem by L. Bers states that two plane domains are conformally (or anticonformally) equivalent if and only if their rings of holomorphic functions are isomorphic [1]. This result has been generalized to Riemann surfaces and its nonempty subsets [3, 4, 5]. A. Eremenko has shown that if  $R_1$  and  $R_2$  are two Riemann surfaces which have bounded nonconstant holomorphic functions and  $E(R_1)$  and  $E(R_2)$  are the semigroups of all holomorphic endomorphisms of  $R_1$  and  $R_2$ , respectively, then any isomorphism of  $E(R_1)$  with  $E(R_2)$  induces a conformal (or anticonformal) isomorphism  $R_1$  with  $R_2$  [2].

## 2. ISOMORPHISMS BETWEEN RESTRICTIVE SEMIGROUPS

**Definition 1.**  $R_1, R_2$  are two Riemann surfaces and  $X, Y$  be any nonempty subsets of  $R_1, R_2$ , respectively. A function  $\psi: X \rightarrow R_2$  is said to be holomorphic if for each point  $P \in X$  there exists an open neighborhood  $U_P$  of  $P$  and a holomorphic function  $\phi_P: U_P \rightarrow R_2$  such that  $\phi_P$  and  $\psi$  coincide on  $U_P \cap X$ . This is equivalent to assuming that there is a single open set  $U \supset X$  and a holomorphic functions  $\phi: U \rightarrow R_2$  such that  $\phi|X = \psi$ .  $\psi: X \rightarrow Y$  is said to be a conformal (anticonformal) mapping if  $\psi$  is holomorphic (or antiholomorphic, i.e.,  $\bar{\psi}$  is holomorphic), one-to-one and onto [3].

Let  $R_1, R_2$  be Riemann surfaces which have bounded nonconstant holomorphic functions and  $X, Y$  be any nonempty subsets of  $R_1, R_2$ , respectively. It is immediate that each conformal (or anticonformal) mapping  $\psi: R_1 \rightarrow R_2$  which carries  $X$  onto  $Y$  induces an isomorphism  $\phi: E(R_1, X) \rightarrow E(R_2, Y)$  such that  $\phi(f) = \psi \circ f \circ \psi^{-1}$ ,  $f \in E(R_1, X)$ .

The purpose of this paper is to prove the following theorem. So we generalize the Eremenko's result to nonempty subsets of Riemann surfaces.

**Theorem:** Let  $R_1, R_2$  be Riemann surfaces which have bounded nonconstant holomorphic functions and  $X, Y$  be any nonempty subsets of  $R_1, R_2$ , respectively. Suppose that  $\phi: E(R_1, X) \rightarrow E(R_2, Y)$  is an isomorphism of semigroups of holomorphic endomorphisms, then there exists a conformal (or anticonformal) isomorphism  $\psi: X \rightarrow Y$  such that  $\phi(f) = \psi \circ f \circ \psi^{-1}$ , for each  $f \in E(R_1, X)$ .

**Proof.** We denote the constant mapping which maps  $R_1$  to  $P \in X$  by  $c_P$  and denote the set of all constant endomorphisms by  $C(R_1, X)$  the subsemigroup of  $E(R_1, X)$ . Then  $c_P(P') = P$  for all  $c_P \in C(R_1, X)$  and  $P' \in R_1$ ;

$$f \circ c_P = c_{f(P)} \text{ and } c_P \circ f = c_P \text{ for all } f \in E(R_1, X).$$

We first prove that  $\phi: C(R_1, X) \rightarrow C(R_2, Y)$ , i.e.,  $\phi$  maps constants to constants. Let  $c_P \in C(R_1, X)$ ,  $P \in X$ . For any  $Q \in Y$  there exists an  $f \in E(R_1, X)$  such that  $\phi(f) = c_Q$  since  $\phi$  is onto. Hence, for all  $Q' \in Y$

$$\phi(c_p)(Q) = \phi(c_p) \circ \phi(f)(Q') = \phi(c_p \circ f)(Q') = \phi(c_p)(Q'),$$

which shows that  $\phi(c_p) \in C(R_2, Y)$ . Thus, we can define  $\psi: X \rightarrow Y$  by

$$\phi(c_p) = c_{\psi(P)} \text{ for all } P \in X \text{ (i.e., } \psi(P) = Q).$$

Then  $\psi$  is one-to-one, because  $\psi(P) = \psi(P')$  implies that  $\phi(c_p) = \phi(c_{p'})$ , which leads to  $P = P'$ . Further,  $\psi$  is onto, because for any  $Q \in Y$ , if we take  $f \in E(R_1, X)$  such that  $\phi(f) = c_Q$ , then we can show in the same way as above that  $f \in C(R_1, X)$ , or  $f = c_P$  for some  $P \in X$ , and hence,  $Q = \psi(P)$ .

Now let  $f \in E(R_1, X)$  and  $P, P' \in X$  such that  $f(P) = P'$  and  $\psi(P) = Q \in Y$ . Then for all  $Q' \in Y$ ,

$$\begin{aligned} \phi(f)(\psi(P)) &= \phi(f)(Q) &&= [\phi(f) \circ (c_Q)](Q') \\ &= [\phi(f) \circ c_{\psi(P)}](Q') &&= [\phi(f) \circ \phi(c_p)](Q') \\ &= \phi(f \circ c_p)(Q') &&= \phi(c_{p'})(Q') \\ &= c_{\psi(P')}(Q') &&= c_{\psi \circ f(P)}(Q'). \end{aligned}$$

Hence  $\phi(f) \circ \psi = \psi \circ f$  so  $\phi(f) = \psi \circ f \circ \psi^{-1}$ .

Now we show that  $\psi$  is continuous. Firstly, we give following definition:

**Definition 2:** Let  $f$  be an element of  $E(R, X)$ .  $f$  is called a good element if for any iterate  $f^n$  of  $f$ ,  $f^n(R)$  is relatively compact image in  $R$ .

If  $f$  is a good element, then the existence of a fixed point in  $R$  follows from relatively compactness of the image. Every element of  $E(R, X)$  which is different from identity has at most one fixed point in  $R$ . If  $R$  is a hyperbolic Riemann surface, i.e., the universal covering of  $R$ , is the unit disk  $U$ , then there exists a Riemannian metric on  $R$  which is called Poincaré metric. Denote by  $\rho$  the distance in the Poincaré metric in  $R$ . The invariant form of the Schwarz lemma states that  $\rho(f(P), f(Q)) \leq \rho(P, Q)$  for every  $P$  and  $Q$ . If  $f(R)$  is relatively compact, then  $f$  cannot be a covering so  $f$  strictly decreases the Poincaré distance. It follows that the sequence  $f(R) \supset f^2(R) \supset \dots$  has one point of intersection and this point  $P$  is the unique attractive fixed point of  $f$  in  $R$ . (Attractive means that  $|f'(P)| < 1$ .)

The derivative at a fixed point does not depend on the choice of local coordinate.).

Now let  $f \in E(R_1, X)$  be a good element. Then  $f$  has a fixed point  $P_0 \in X$  and  $f$  is univalent in a neighborhood of this fixed point and

$$\bigcap_{n \in \mathbb{N}} f^n(R_1) = \{P_0\}.$$

Eremenko showed that  $\{f^n(R_1)\}$  forms a fundamental set of neighborhoods of  $P_0$  [2]. Now let  $Q_0 = \psi(P_0) \in Y$ . Since  $f$  is good,  $\phi(f) = g$  is a good element in  $E(R_2, Y)$  which fixes  $Q_0$ . We also have  $\psi(f^n(R_1) \cap X) = g^n(R_2) \cap Y$ . So  $\psi$  maps a fundamental set of neighborhoods of  $P_0$  to a fundamental set of neighborhoods of  $Q_0$ , in the relative topologies. Thus  $\psi$  is continuous.

Next, we show that  $\psi$  is conformal (or anticonformal). Let

$$P(f) = \{h \in E(R_1, X) \mid h \circ f = f \circ h, f \in E(R_1, X)\}.$$

This is a semigroup of  $E(R_1, X)$ . Denote by  $S$  the group of all linear self-maps of the field  $C$ , i.e.,

$$S = \{z \rightarrow \lambda z \mid \lambda \in C^* = C \setminus \{0\}\}.$$

The group  $S$  is isomorphic to the multiplicative group  $C^*$ . There exists a neighborhood  $O_1 \subset R_1$  of  $P_0$  and a local coordinate  $F: (O_1, P_0) \rightarrow (C, 0)$  which conjugates  $P(f)$  to some subsemigroup  $S_1 \subset S$ . In other words  $s(h) = F \circ h \circ F^{-1} \in S$  if  $h \in P(f)$  and  $h \rightarrow s(h)$  is an isomorphism of semigroups  $P(f) \rightarrow S_1$ . Similarly consider a local coordinate  $G: (O_2, Q_0) \rightarrow (C, 0)$ ,  $Q_0 \in O_2 \subset R_2$ , which conjugates  $P(g)$  to a subsemigroup  $S_2 \subset S$ . If  $S_1$  and  $S_2$  are considered as subsets of  $C^*$ , then they contain some punctured neighborhoods of 0.

**Lemma.** Let  $S_1$  and  $S_2$  be subsemigroups of the multiplicative group  $C^*$  both containing some punctured neighborhoods of 0. If  $V$  is a continuous injective mapping in a neighborhood of 0 which conjugates  $S_1$  to  $S_2$ , then

$$V(z) = az^A \frac{z^B}{z}, \quad (1)$$

where  $a \in C^*$ ,  $A, B \in C$  and  $A - B = \pm 1$  [2].

Note that  $V$  given by (1) is differentiable (as a function from  $\mathbb{R}^2$  to  $\mathbb{R}^2$ ) and nondegenerate in  $C^*$ . It is differentiable and nondegenerate at 0 iff  $A + B = 1$ . In the latter case  $V$  is conformal (or anticonformal) because  $A + B = 1$  and  $A - B = \pm 1$  imply  $A = 1$  or  $B = 1$ .

Now the function  $V_{P_0} = G \circ \psi \circ F^{-1}$  maps a neighborhood of 0 to some neighborhood of 0 and conjugates  $S_1$  to  $S_2$ . According to the Lemma, for arbitrary  $P \in O_1 \setminus \{P_0\}$  the function  $V_P$  is differentiable and nondegenerate. Therefore  $\psi \mid X \cap (O_1 \setminus \{P_0\})$  is differentiable and nondegenerate. So  $V_P$  is conformal (or anticonformal) this implies that  $\psi$  is conformal (or anticonformal).

#### REFERENCES

- [1] BERS, L. *On rings of analytic functions*, Bull. Amer. Math. Soc., 54(1948), 311-315.
- [2] EREMENKO, A., *On the characterization of a Riemann surface by its semigroup of endomorphisms*, Trans. Amer. Math. Soc., Vol. 338, No.1 (1993), 123-131.
- [3] MINDA, C.D., *Rings of holomorphic and meromorphic functions on subsets of Riemann surfaces*, Journal of the Indian Math. Soc., 40(1976), 75-85.
- [4] RUDIN, W., *An algebraic characterization of conformal equivalence*, Bull. Amer. Math. Soc., 61(1955), 543.
- [5] ŞERBETÇİ, A., and ÖZKIN, İ.K., *On the rings of analytic functions on any subset of an open Riemann surface*, Jour. Inst. Math. and Comp. Sci. (Math. Ser.), Vol.3, No.1 (1990), 15-20.