

## PROPERTY OF DEFECT DIMINISHING AND STABILITY

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Received: 14 September 2020; Revised: 26 Mart 2021; Accepted: 16 September 2021

Communicated by Abdullah Harmanci

**ABSTRACT.** Let  $\Gamma$  be a group and  $\mathcal{C}$  a class of groups endowed with bi-invariant metrics. We say that  $\Gamma$  is  $\mathcal{C}$ -stable if every  $\varepsilon$ -homomorphism  $\Gamma \rightarrow G$ ,  $(G, d) \in \mathcal{C}$ , is  $\delta_\varepsilon$ -close to a homomorphism,  $\delta_\varepsilon \rightarrow 0$  when  $\varepsilon \rightarrow 0$ . If  $\delta_\varepsilon < C\varepsilon$  for some  $C$  we say that  $\Gamma$  is  $\mathcal{C}$ -stable with a linear rate. We say that  $\Gamma$  has the property of defect diminishing if any asymptotic homomorphism can be changed a little to make errors essentially better. We show that the defect diminishing is equivalent to the stability with a linear rate.

**Mathematics Subject Classification (2020):** 20E26, 39B82

**Keywords:** Group theoretic stability

### 1. Introduction

The stability of a group  $\Gamma$  (with respect to a class of groups  $\mathcal{C}$ ) means that any almost-homomorphism to  $\mathcal{C}$  is close to a homomorphism, see Definition 2.2. In [5] the notion of defect diminishing was introduced, see Definition 3.3 and Definition 3.4. It was shown in [5] that for some classes  $\mathcal{C}$  and  $\Gamma$ -modules  $M$  the vanishing of the second cohomology  $H^2(\Gamma, M)$  implies the defect diminishing and that the defect diminishing implies stability.

In the present paper, we show that (under weaker assumptions) defect diminishing is equivalent to stability with a linear rate for finitely presented groups. It not only provides a more natural proof of Theorem 5.1 of [5] but clarifies the relation between defect diminishing and stability. Particularly, this implies that there are stable groups that do not have defect diminishing. Indeed, O. Becker and J. Mosheiff [2] showed that the rate of stability of  $\mathbb{Z}^d$ ,  $d \geq 2$  is polynomial but not linear (with respect to symmetric groups with normalized Hamming distance)<sup>1</sup>. On the other hand we do not know examples of  $(U(n), \|\cdot\|_p)$ -stable groups without the defect diminishing. Still, we do believe that such groups should exist. The problem is that the cohomological method is the only method available to show

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<sup>1</sup>It is worth mentioning that the stability of any abelian group (with respect to symmetric groups with normalized Hamming distance) was proven by G. Arzhantseva and L. Păunescu in [1].

stability in this case. But this leads to the defect diminishing and stability with linear rate.

## 2. Stability

Let  $S$  be a finite set of symbols. We denote by  $F(S)$  the free group on  $S$ . Let  $R \subseteq F(S)$  be finite and  $\Gamma$  be a finitely presented group  $\Gamma = \langle S \mid R \rangle = F(S)/\langle\langle R \rangle\rangle$  where  $\langle\langle R \rangle\rangle$  is the normal subgroup of  $F(S)$  generated by  $R$ . Let  $\mathcal{C}$  be a class of groups, all equipped with bi-invariant metric. Any map  $\phi : S \rightarrow G$ , for a group  $G \in \mathcal{C}$  uniquely determines a homomorphism  $F(S) \rightarrow G$  that we also denote by  $\phi$ .

**Definition 2.1.** [5] Let  $G \in \mathcal{C}$  and let  $\phi, \psi : S \rightarrow G$  be maps. The defect of  $\phi$  is defined by:

$$\text{def}_R(\phi) = \max_{r \in R} d_G(\phi(r), 1_G)$$

The distance between  $\phi$  and  $\psi$  is defined by:

$$\text{dist}_S(\phi, \psi) = \max_{s \in S} d_G(\phi(s), \psi(s))$$

The homomorphism distance of  $\phi$  is defined by:

$$\text{HomDist}_S(\phi) = \inf_{\pi \in \text{Hom}(\Gamma, G)} \text{dist}_S(\phi, \pi \upharpoonright_S)$$

Let  $\langle \mathcal{C}^S \rangle = \bigcup_{G \in \mathcal{C}} G^S$  where  $G^S = \{\phi : S \rightarrow G\}$ , that is,  $\langle \mathcal{C}^S \rangle$  are all possible maps  $\phi : S \rightarrow G$  for  $G \in \mathcal{C}$ .

**Definition 2.2.** [6] A finitely presented group  $\Gamma$  is called  $\mathcal{C}$ -stable if for all  $\epsilon > 0$  there exists  $\delta > 0$  such that for all  $\phi \in \langle \mathcal{C}^S \rangle$  the inequality  $\text{def}_R(\phi) < \delta$  implies  $\text{HomDist}_S(\phi) < \epsilon$ . Let us restate it to avoid ambiguity:

$$\forall \epsilon > 0 \exists \delta > 0 \forall \phi \in \langle \mathcal{C}^S \rangle (\text{def}_R(\phi) < \delta \Rightarrow \text{HomDist}_S(\phi) < \epsilon).$$

**Remark 2.3.** The stability of  $\Gamma$  does not depend on the particular choice of the presentation of the group  $\Gamma$  (see [1]): Tietze transformations preserve stability since the metric is bi-invariant. The stability of a group does depend on the class  $\mathcal{C}$ .

Interesting examples  $\mathcal{C} = \{(G_n, d_n) \mid n \in \mathbb{N}\}$  are:

- (1)  $G_n = U(n)$ , the group of Unitary  $n \times n$  matrix. The metric  $d_n$  is induced by the normalized Hilbert-Schmidt norm  $\|A\|_{HS} = \sqrt{\frac{1}{n} \text{tr}(A^*A)}$  ( $d_n(A, B) = \|A - B\|$ ).
- (2)  $G_n = U(n)$ , the metric  $d_n$  is induced by the Schatten  $p$ -norm  $\|A\|_p = (\text{tr} |T|^p)^{\frac{1}{p}}$ , where  $|T| = \sqrt{T^*T}$ . Note that if  $p = 2$  then  $\|A\|_2 = \|A\|_{\text{Frob}}$ .
- (3)  $G_n = U(n)$ , the metric  $d_n$  is induced by the operator norm  $\|A\|_{op} = \sup_{\|v\|=1} \|Av\|$  also known as Schatten  $\infty$ -norm.

- (4)  $G_n = \text{Sym}(n)$ , the symmetric group of  $n$  elements.  $d_n$  is the normalized Hamming distance:  $d_n(\alpha, \beta) = \frac{1}{n} |\{j \mid \alpha(j) \neq \beta(j)\}|$ .

**2.1. Rate of stability.** The rate of stability is, roughly speaking, the dependence of  $\epsilon$  and  $\delta$  in Definition 2.2. See [2] for details. To make this precise we define the function  $D_{(S,R)} : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  as follows:

$$D_{(S,R)}(\delta) = \sup_{\phi \in \langle \mathcal{C}^S \rangle} \{\text{HomDist}_S(\phi) \mid \text{def}_R(\phi) < \delta\}.$$

The function  $D_{(S,R)}$  is monotone increasing and depends on the presentation of the group  $\Gamma$ , but we show now that this dependence is just linear.

The following lemma is a reformulation of Definition 2.2. The analogue of the lemma is used as the definition of stability in [3].

**Lemma 2.4.**  $\lim_{\delta \rightarrow 0^+} D_{(S,R)}(\delta) = 0$  if and only if  $\Gamma$  is  $\mathcal{C}$ -stable.

Following O. Becker and J. Mosheiff, we define the rate stability  $D_\Gamma$  of the group  $\Gamma$  as a class of functions (see Definition 2.7).

**Definition 2.5.** Let  $f, g : (0, \delta_0] \rightarrow \mathbb{R}^+$  be monotone nondecreasing functions. Write  $f \preceq g$  if  $f(\delta) \leq g(C\delta) + C\delta$  for some  $C > 0$  and all  $\delta \in (0, \delta_0]$  for some  $\delta_0 > 0$ . We define the equivalence relation  $\sim$  by saying that  $f \sim g$  if and only if  $f \preceq g$  and  $g \preceq f$  (notice that the relation  $\preceq$  is reflexive and transitive). Let  $[f]$  denote the class of  $f$  with regard to this equivalence relation. Clearly,  $\preceq$  defines a partial order on equivalence classes:  $[f] \preceq [g]$  if and only if  $f \preceq g$ .

Note that if  $f \preceq \text{id}$  then  $f(\delta) \leq M\delta$  for some  $M$ . Here  $\text{id}$  is an identical function:  $\text{id}(\delta) = \delta$ .

**Proposition 2.6.** [2] Let  $\Gamma = \langle S \mid R \rangle$  be a finitely presented group. If  $\Gamma = \langle S' \mid R' \rangle$  is another finite presentation of  $\Gamma$ . Then  $D_{(S,R)} \sim D_{(S',R')}$ .

**Definition 2.7.** Let  $\Gamma = \langle S \mid R \rangle$  be a finitely presented group. The rate stability  $D_\Gamma$  of the group  $\Gamma$  is the equivalence class  $D_\Gamma = [D_{(S,R)}]$ .

Proposition 2.6 implies that the rate of stability  $D_\Gamma$  of the finitely presented group  $\Gamma$  does not depend on the presentation of  $\Gamma$ .

By the definition of  $\sim$  the rate of stability  $D_\Gamma$  of a group  $\Gamma$  can not be faster than linear. The following lemma shows that it is not just by definition of  $\sim$  but rather a natural phenomenon for non-free groups.

**Lemma 2.8.** [2] *Let  $\Gamma = \langle S \mid R \rangle$  be a finitely presented group with  $R \neq \emptyset$ ,  $R \neq \{1_\Gamma\}$  and  $\mathcal{C}$  is the class of symmetric groups with the normalized Hamming distance. Then there exists  $C > 0$  and  $\delta_0 > 0$  such that  $C\delta \leq D_{(S,R)}(\delta)$  for all  $\delta \in (0, \delta_0]$ .*

By O. Becker and J. Mosheiff [2] if  $\mathcal{C}$  is symmetric group with Hamming distance and  $d = 2, 3, 4, \dots$  then  $[\delta^{1/b}] \preceq D_{\mathbb{Z}^d} \preceq [\delta^{1/c}]$  for any  $b < 2$  and some  $c = c_d$ , depending on  $d$ .

### 3. Property of defect diminishing

In this section we give the definition of the property of defect diminishing and a proof of the main theorem.

**Definition 3.1.** An ultrafilter  $\mathcal{U}$  on  $\mathbb{N}$  is a collection of subsets of  $\mathbb{N}$ , such that:

- (i)  $A \in \mathcal{U}$  and  $A \subset B$  implies  $B \in \mathcal{U}$ ,
- (ii)  $A, B \in \mathcal{U}$  implies  $A \cap B \in \mathcal{U}$ ,
- (iii)  $A \notin \mathcal{U}$  if, and only if  $\mathbb{N} \setminus A \in \mathcal{U}$ .

We say that  $\mathcal{U}$  is non-principal if  $\{n\} \notin \mathcal{U}$  for every  $n \in \mathbb{N}$ . The existence of non-principal ultrafilters on  $\mathbb{N}$  is ensured by the axiom of choice. We fix a non-principal ultrafilter  $\mathcal{U}$  on  $\mathbb{N}$ . Given a bounded sequence  $(x_n)_{n \in \mathbb{N}}$  of real numbers we denote the limit along the ultrafilter by  $\lim_{n \rightarrow \mathcal{U}} x_n \in (-\infty, \infty)$ . Formally, the limit is the unique  $x \in \mathbb{R}$  such for all  $\epsilon > 0$  we have  $\{n \in \mathbb{N} : |x_n - x| < \epsilon\} \in \mathcal{U}$ . For more information on ultrafilters and ultralimits see [4] appendix B.

We will use the notation Landau, let  $(x_n)_{n \in \mathbb{N}}$  and  $(y_n)_{n \in \mathbb{N}}$  be two sequences of positive real numbers, we denote by  $x_n = O_{\mathcal{U}}(y_n)$  if there exists  $C > 0$  such that  $\{n \mid x_n \leq Cy_n\} \in \mathcal{U}$ . We denote by  $x_n = o_{\mathcal{U}}(y_n)$  if there is a third sequence of positive real numbers  $\epsilon_n$  such that  $\lim_{n \rightarrow \mathcal{U}} \epsilon_n = 0$  and  $x_n = \epsilon_n y_n$ .

**Definition 3.2.** [5] A sequence of maps  $\phi_n : S \rightarrow G_n$ , for  $(G_n, d_n) \in \mathcal{C}$  is called an asymptotic homomorphism to  $\mathcal{C}$  if

$$\lim_{n \rightarrow \mathcal{U}} \text{def}_R(\phi_n) = 0.$$

**Definition 3.3.** Let  $\phi_n : S \rightarrow G_n$  with  $G_n \in \mathcal{C}$  be an asymptotic homomorphism, we say that an asymptotic homomorphism  $\phi'_n : S \rightarrow G_n$  diminishes the defect of  $(\phi_n)_{n \in \mathbb{N}}$  if:

- (a)  $\text{dist}_S(\phi_n, \phi'_n) = O_{\mathcal{U}}(\text{def}_R(\phi_n))$ ,
- (b)  $\text{def}_R(\phi'_n) = o_{\mathcal{U}}(\text{def}_R(\phi_n))$ .

We say that  $(\phi_n)_{n \in \mathbb{N}}$  has the property of defect diminishing if there is an asymptotic homomorphism  $(\phi'_n)_{n \in \mathbb{N}}$  that diminishes the defect of  $(\phi_n)_{n \in \mathbb{N}}$ .

**Definition 3.4.** The group  $\Gamma$  has the property of defect diminishing (with respect to  $\mathcal{C}$ ) if every asymptotic homomorphism to  $\mathcal{C}$  has the property of defect diminishing.

**Theorem 3.5.** Let  $\Gamma = \langle S \mid R \rangle$  be a finitely presented group and  $\mathcal{C}$  a class of groups such that each  $(G, d) \in \mathcal{C}$  is a complete metric space. Then the group  $\Gamma$  has the property of defect diminishing if and only if  $D_{(S,R)} \preceq \text{id}$ .

**Corollary 3.6.** Let  $\Gamma$  be a finitely presented group and  $\mathcal{C}$  a class of groups such that each  $(G, d) \in \mathcal{C}$  is a complete metric space. The group  $\Gamma$  has the property of defect diminishing if and only if  $D_\Gamma \preceq [\text{id}]$ .

**Corollary 3.7.** The property of defect diminishing does not depend on the particular choice of the presentation of the group  $\Gamma$ .

**Proof.** If  $\mathcal{C}$  is a class of groups such that each  $(G, d) \in \mathcal{C}$  is a complete metric space, the proof follows from the Proposition 2.6 and Theorem 3.5. General case may be proved directly similarly to Proposition 2.6.  $\square$

For the proof of Theorem 3.5 we need the following proposition.

**Proposition 3.8.** If  $\Gamma = \langle S \mid R \rangle$  has the property of defect diminishing then there exists  $M, \varepsilon \in \mathbb{R}^+$  such that for all  $G \in \mathcal{C}$  and  $\phi \in G^S$  with  $\text{def}_R(\phi) < \varepsilon$  there exists  $\psi \in G^S$  such that:

- (1)  $\text{def}_R(\psi) < \frac{1}{2} \text{def}_R(\phi)$ .
- (2)  $\text{dist}_S(\phi, \psi) < M \text{def}_R(\phi)$ .

**Proof.** Suppose that the conclusion of the proposition is false. Then for every  $n \in \mathbb{N}$  there is  $\phi_n \in (G_n)^S$  with  $G_n \in \mathcal{C}$  and  $\text{def}_R(\phi_n) < \frac{1}{n}$ , such that every  $\psi \in (G_n)^S$  with  $\text{def}_R(\psi) < \frac{1}{2} \text{def}_R(\phi_n)$  satisfies  $\text{dist}_S(\phi_n, \psi) \geq n \text{def}_R(\phi_n)$ .

So we have an asymptotic homomorphism  $(\phi_n)_{n \in \mathbb{N}}$  that does not have the property of defect diminishing. Therefore,  $\Gamma$  does not have the property of defect diminishing.  $\square$

**Proof of Theorem 3.5.** Suppose that  $D_{(S,R)} \preceq \text{id}$ , that is, there exists  $M > 0$  and  $\delta_0 > 0$  such that  $\forall 0 < \delta < \delta_0$  we have that  $D_{(S,R)}(\delta) < M\delta$ . Let  $(\phi_n)_{n \in \mathbb{N}}$  be an asymptotic homomorphism and  $\varepsilon_n = \text{def}_R(\phi_n)$ . By the definition of asymptotic homomorphism  $\lim_{n \rightarrow \mathcal{U}} \varepsilon_n = 0$ . Let  $X = \{n \mid \varepsilon_n < \delta_0\}$ . For  $n \in X$  we have that

$\text{HomDist}_S(\phi_n) < M\epsilon_n$  by Definition 2.1 and there is a  $\pi_n \in \text{Hom}(\Gamma, G_n)$  that complies  $\text{dist}_S(\phi_n, \pi_n \upharpoonright_S) < M \text{def}_R(\phi_n)$ . Define  $\phi'_n = \pi_n$  for  $n \in X$  and  $\phi'_n = \phi_n$  for  $n \notin X$ . Then  $\phi'_n$  diminishing the defect of  $\phi_n$  as  $X \in \mathcal{U}$ .

Suppose that the group  $\Gamma$  has the property of defect diminishing. We apply Proposition 3.8. Let  $M, \varepsilon \in \mathbb{R}^+$  be as in Proposition 3.8. Let  $\phi \in G^S$  be with  $\text{def}_R(\phi) < \varepsilon$ . Inductively we may construct a sequence of maps  $\phi_j \in G^S$ ,  $\phi_0 = \phi$ , such that  $\text{def}_R(\phi_j) < \frac{1}{2} \text{def}_R(\phi_{j-1}) < \frac{\varepsilon}{2^j}$  and  $\text{dist}_S(\phi_j, \phi_{j-1}) < M \text{def}_R(\phi_{j-1}) < M \text{def}_R(\phi) \frac{1}{2^{j-1}}$ . It follows that  $(\phi_n)_{n \in \mathbb{N}}$  is a Cauchy sequence. The Cauchy sequence  $(\phi_n)_{n \in \mathbb{N}}$  of points in  $G^S$  has a limit that is also in  $G^S$ , this limit we denote by  $\phi_\infty$ . We can check that  $\phi_\infty$  is a homomorphism and  $\text{dist}_S(\phi, \phi_\infty) < 2M \text{def}_R(\phi)$ . It follows that  $D_{(S,R)}(\delta) < 2M\delta$  for  $\delta < \varepsilon$ . Therefore,  $D_{(S,R)} \preceq \text{id}$ .  $\square$

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