

# Rigidity Results on Generalized $m$ -Quasi Einstein Manifolds with Associated Affine Killing Vector Field

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(Dedicated to the memory of Prof. Dr. Krishan Lal DUGGAL (1929 - 2022))

## ABSTRACT

We study a non-trivial generalized  $m$ -quasi Einstein manifold  $M$  with finite  $m$  and associated divergence-free affine Killing vector field, and show that  $M$  reduces to an  $m$ -quasi Einstein manifold. In addition, if  $M$  is complete, then it splits as the product of a line and an  $(n - 1)$ -dimensional negatively Einstein manifold. Finally, we show that the same result holds for a complete non-trivial  $m$ -quasi Einstein manifold  $M$  with finite  $m$  and associated affine Killing vector field.

**Keywords:** Generalized  $m$ -quasi Einstein manifold, affine Killing vector field, Einstein manifold, Ricci almost soliton.

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## 1. Introduction

In [7], Pigola et al. defined a Ricci almost soliton as an  $n$ -dimensional smooth Riemannian manifold  $(M, g)$  satisfying the condition

$$\mathcal{L}_V g + 2Ric = 2\lambda g, \quad (1.1)$$

where  $V$  is a vector field on  $M$ ,  $Ric$  is the Ricci tensor of  $g$ ,  $\mathcal{L}$  is the Lie-derivative operator, and  $\lambda$  is a smooth real valued function on  $M$ . If the vector field  $V$  is the gradient of a smooth function  $f$ , up to the addition of a Killing vector field, then  $(M, g, f, \lambda)$  is called a gradient Ricci almost soliton, in which case equation (1.1) assumes the form

$$Ric + Hess f = \lambda g, \quad (1.2)$$

where  $Hess f$  is the Hessian of  $f$  with respect to  $g$ . For  $\lambda$  constant, equation (1.1) defines a Ricci soliton which corresponds to self-similar solutions of the Ricci flow equation, and equation (1.2) is then known as a gradient Ricci soliton. For compact Ricci almost solitons, we state the following rigidity result of Sharma.

**Theorem 1.1** ([8]). *If a compact Ricci almost soliton  $(M, g, V, \lambda)$  has divergence free soliton vector field  $V$ , then it is Einstein and  $V$  is Killing.*

We show that the same conclusion holds if compactness is waived and  $V$  is assumed to be an affine Killing vector field. More precisely, we state this as the following proposition.

**Proposition 1.1.** *Let  $(M, g, V, \lambda)$  be a Ricci almost soliton. If  $V$  is an affine Killing vector field and is divergence free, then  $V$  is Killing and  $g$  is Einstein.*

Here, we recall that,  $V$  is an affine Killing vector field (a generalization of a Killing vector field) if it satisfies the condition

$$(\mathcal{L}_V \nabla)(X, Y) = 0. \quad (1.3)$$

Geodesics along with their affine parameters are preserved by an affine Killing vector field. The set of all affine Killing vector fields on an  $n$ -Riemannian manifold forms a Lie algebra of maximum dimension  $n^2 + n$ , under the usual bracket operation for vector fields. Also, the divergence of an affine Killing vector field is constant (Yano [10]).

As a generalization of Einstein metrics, gradient Ricci solitons, gradient Ricci almost solitons, Catino [4] defined a generalized quasi-Einstein manifold as an  $n$ -dimensional smooth Riemannian manifold  $(M, g)$  satisfying the equation

$$Ric + Hessf - \mu df \otimes df = \lambda g, \tag{1.4}$$

and showed that a complete  $(M, g)$  satisfying (1.4) with harmonic Weyl tensor and zero radial Weyl curvature is locally a warped product with  $(n - 1)$ -dimensional Einstein fiber. In particular, if

$$\mu = \frac{1}{m},$$

for a real  $m$  such that  $0 < m \leq \infty$ , then (1.4) becomes

$$Ric + Hessf - \frac{1}{m}df \otimes df = \lambda g, \tag{1.5}$$

where the left side is called the  $m$ -Bakry-Émery Ricci tensor denoted by  $Ric_f^m$ . We will call  $(M, g)$  satisfying equation (1.5) as a generalized  $m$ -quasi Einstein manifold. We note that for  $m = \infty$ , and  $\lambda$  constant in (1.5), we get a gradient Ricci soliton. For  $m = \infty$ , and  $\lambda$  non-constant, (1.5) gives a gradient Ricci almost soliton. If  $\lambda$  is constant, then (1.5) is an  $m$ -quasi Einstein metric, further, if  $m$  is a positive integer, then (1.5) holds on the base of a  $(m + n)$ -dimensional Einstein warped product (Case, Shu and Wei [3], He, Petersen and Wylie [6] and Barros and Ribeiro, Jr. [1]). An  $m$ -quasi Einstein manifold is said to be expanding, steady, or shrinking, if  $\lambda < 0$ ,  $\lambda = 0$ , or  $\lambda > 0$  respectively.

Motivated by Proposition 1.1, we consider the problem of classifying a generalized  $m$ -quasi Einstein manifold with finite  $m$  and associated affine Killing and divergence free potential vector field  $Df$  ( $D$  denotes the gradient operator). In this context, we establish the following result.

**Theorem 1.2.** *Let  $(M, g, f, \lambda)$  be an  $n$ -dimensional non-trivial generalized  $m$ -quasi Einstein manifold with finite  $m$ . If the potential vector field  $Df$  is affine Killing and divergence free, then  $M$  reduces to an  $m$ -quasi Einstein manifold. In addition, if  $M$  is complete, then  $M$  splits as the product of a line and an  $(n - 1)$ -dimensional complete negatively Einstein manifold.*

In the case of a non-trivial complete  $m$ -quasi Einstein manifold with associated affine Killing vector field, we show that the result of Theorem 1.2 holds without the divergence-free condition on the potential vector field, and prove the following result.

**Theorem 1.3.** *Let  $(M, g, f, \lambda)$  be an  $n$ -dimensional ( $n > 2$ ) non-trivial complete  $m$ -quasi Einstein manifold with finite  $m$ . If the potential vector field  $Df$  is affine Killing, then  $M$  splits as the product of a line and an  $(n - 1)$ -dimensional complete negatively Einstein manifold.*

## 2. Proofs of the Results

**Proof of Proposition 1.1** The  $g$ -trace of the Ricci almost soliton equation (1.1) and the hypothesis  $divV = 0$  provides

$$r = n\lambda. \tag{2.1}$$

Next, using the commutation formula (Yano [10]):

$$\begin{aligned} & (\mathcal{L}_V \nabla_X g - \nabla_X \mathcal{L}_V g - \nabla_{[V, X]} g)(Y, Z) \\ &= -g((\mathcal{L}_V \nabla)(X, Y), Z) - g((\mathcal{L}_V \nabla)(X, Z), Y) \end{aligned}$$

and the hypothesis that  $V$  is affine Killing (i.e.,  $\mathcal{L}_V \nabla = 0$ ), we find that  $\nabla_X \mathcal{L}_V g = 0$ . Using this in the Ricci almost soliton equation (1.1) gives

$$(\nabla_X Q)Y = (X\lambda)Y.$$

Contracting this equation at  $Y$  and  $X$  separately, and using twice contracted Bianchi second identity:  $(\operatorname{div}Q)Y = \frac{1}{2}Yr$  provides

$$Dr = nD\lambda, \quad Dr = 2D\lambda.$$

As  $n > 2$ , the above equations imply that  $\lambda$  and  $r$  are constant. So  $M$  is a Ricci soliton with constant scalar curvature. Using the following integrability equation (Ghosh and Sharma [5]) for a Ricci soliton:

$$\mathcal{L}_V r = \Delta r + 2|Q|^2 - 2\lambda r,$$

and noting that  $r$  is constant, we get  $|Q|^2 = \lambda r$ . But  $|Q - \frac{r}{n}I|^2 = |Q|^2 - \frac{r^2}{n}$ . Combining the two preceding equations yields

$$|Q - \frac{r}{n}I|^2 = -\frac{r}{n}(r - n\lambda).$$

The use of equation (2.1) in the above equation immediately shows that  $g$  is Einstein and  $Q = \lambda I$ . Consequently, equation (1.1) implies that  $V$  is Killing and this completes the proof.

**Proof of Theorem 1.2** Equation (1.5) can be written as

$$2\operatorname{Ric}(Y, Z) + (\mathcal{L}_{Df}g)(Y, Z) - \frac{2}{m}(Yf)(Zf) = 2\lambda g(Y, Z),$$

for arbitrary smooth vector fields  $Y, Z$  on  $M$ . Covariantly differentiating the preceding equation with respect to  $X$  gives

$$\begin{aligned} 2(\nabla_X \operatorname{Ric})(Y, Z) + (\nabla_X \mathcal{L}_{Df}g)(Y, Z) - \frac{2}{m}g(\nabla_X Df, Y)(Zf) \\ - \frac{2}{m}g(\nabla_X Df, Z)(Yf) = 2(X\lambda)g(Y, Z). \end{aligned} \quad (2.2)$$

Rearranging the terms yields

$$\begin{aligned} (\nabla_X \mathcal{L}_{Df}g)(Y, Z) &= 2(X\lambda)g(Y, Z) + \frac{2}{m}g(\nabla_X Df, Y)(Zf) \\ &+ \frac{2}{m}g(\nabla_X Df, Z)(Yf) - 2(\nabla_X \operatorname{Ric})(Y, Z). \end{aligned} \quad (2.3)$$

Next, using the commutation formula (Yano [10]) mentioned and used in the proof of Proposition 1.1, taking  $V = Df$  and the fact that  $g$  is parallel with respect to  $\nabla$ , along with equations (1.3) and (2.3) leads us to

$$2(X\lambda)Y + \frac{2}{m}g(\nabla_X Df, Y)Df + \frac{2}{m}(Yf)\nabla_X Df - 2(\nabla_X Q)Y = 0. \quad (2.4)$$

Contracting equation (2.4) with respect to  $Y$  gives

$$nD\lambda + \frac{2}{m}\nabla_{Df}Df - Dr = 0. \quad (2.5)$$

Similarly, contracting equation (2.4) at  $X$ , and using the twice contracted Bianchi's identity, we arrive at

$$2D\lambda + \frac{2}{m}\nabla_{Df}Df + \frac{2}{m}(\Delta f)Df - Dr = 0. \quad (2.6)$$

Equations (2.5) and (2.6) along with the hypothesis that  $Df$  is divergence free, at once gives

$$(n-2)D\lambda = 0. \quad (2.7)$$

This implies that  $\lambda$  is constant, i.e.,  $M$  becomes an  $m$ -quasi Einstein manifold. This reduces equation (2.5) to

$$Dr = \frac{2}{m}\nabla_{Df}Df. \quad (2.8)$$

Now, equation (1.5) can be written as

$$\nabla_X Df = \lambda X - QX + \frac{1}{m}(Xf)Df. \quad (2.9)$$

Contracting (2.9) at  $X$  and using the hypothesis that  $Df$  is divergence free, we get

$$r = n\lambda + \frac{1}{m}|Df|^2. \tag{2.10}$$

Next, we indite Lemma 2(2) of Barros and Ribeiro, Jr. [2] for an  $m$ -quasi Einstein manifold,

$$\frac{1}{2}Dr = \left(1 - \frac{1}{m}\right)QDf + \frac{1}{m}(r - (n - 1)\lambda)Df. \tag{2.11}$$

Using equation (2.9) with  $X = Df$ , (2.8) and (2.10) in (2.11) provides

$$QDf = 0. \tag{2.12}$$

As  $\lambda$  was shown to be a constant, equation (2.4) reduces to

$$(\nabla_X Q)Y = \frac{1}{m}g(\nabla_X Df, Y)Df + \frac{1}{m}(Yf)\nabla_X Df. \tag{2.13}$$

Using (2.9) and (2.13), we compute  $R(X, Y)Df$  and obtain the equation

$$R(X, Y)Df = 0. \tag{2.14}$$

Differentiating (2.14) along an arbitrary smooth vector field  $Z$  and using (2.9) entails

$$(\nabla_Z R)(X, Y)Df - R(X, Y)QZ + \lambda R(X, Y)Z = 0.$$

Contracting the foregoing equation at  $X$  gives

$$(\nabla_Z Ric)(Y, Df) - Ric(Y, QZ) + \lambda Ric(Y, Z) = 0. \tag{2.15}$$

Using (2.9) and (2.13) we find that

$$\begin{aligned} (\nabla_Z Ric)(Y, Df) &= \frac{1}{m} \left[ \lambda \langle Z, Y \rangle |Df|^2 - Ric(Z, Y)|Df|^2 \right. \\ &\quad \left. + \left( \frac{2}{m}|Df|^2 + \lambda \right) (Zf)(Yf) \right]. \end{aligned}$$

In view of (2.15), the foregoing equation takes the form

$$\begin{aligned} Ric(Y, QZ) - \lambda Ric(Y, Z) &= \frac{1}{m} \left[ \lambda \langle Z, Y \rangle |Df|^2 - Ric(Z, Y)|Df|^2 \right. \\ &\quad \left. + \left( \frac{2}{m}|Df|^2 + \lambda \right) (Zf)(Yf) \right]. \end{aligned}$$

Substituting  $Df$  for  $Z$  in the above equation and using (2.12) we find that

$$\left( \lambda + \frac{1}{m}|Df|^2 \right) Yf = 0. \tag{2.16}$$

At this point, we show that  $|Df|$  is constant on  $M$ . For this, we compute the covariant derivative of  $|Df|^2$  along an arbitrary vector field  $Y$  as follows:

$$Y|Df|^2 = 2g(\nabla_Y Df, Df) = 2g(\lambda Y - QY + \frac{1}{m}(Yf)Df, Df) = 0,$$

where we used equations (2.12) and (2.16). Hence  $Df$  is everywhere zero on  $M$  (in which case  $M$  is trivial, contradicting our hypothesis), or everywhere non-zero on  $M$ . Thus  $Df$  is nowhere zero on  $M$ , and hence (2.16) implies

$$\lambda = -\frac{1}{m}|Df|^2 \tag{2.17}$$

and hence  $\lambda$  is negative. Now, substituting  $Df$  for  $X$  in equation (2.9) and using (2.12) and (2.17) shows that

$$\nabla_{Df} Df = 0. \quad (2.18)$$

From (2.8) and (2.18), it follows that  $Dr = 0$ , i.e., the scalar curvature is constant. Using this consequence, equation (2.12), and  $Df \neq 0$  on  $M$ , in equation (2.11), shows that

$$r = (n - 1)\lambda. \quad (2.19)$$

Hence  $r$  is negative, because  $\lambda$  was found negative earlier. At this stage, we indite Lemma 3.2 of Case et al. [3] for an  $m$ -quasi Einstein manifold

$$\frac{1}{2}\Delta|Df|^2 = |Hessf|^2 - Ric(Df, Df) + \frac{2}{m}|Df|^2\Delta f. \quad (2.20)$$

Using (2.18), (2.20), (2.12) and the hypothesis that the potential vector field is divergence free (i.e.,  $\Delta f = 0$ ), we get

$$Hessf = 0, \quad (2.21)$$

i.e.,  $Df$  is parallel on  $M$ . As  $M$  is complete, by a result [Theorem 2 (I,A)] of Tashiro [9],  $M$  is the Riemannian product of a line  $\mathcal{R}$  and an  $(n - 1)$ -dimensional complete Riemannian manifold  $N$ . Here  $Df$  is tangent to  $\mathcal{R}$ . As  $Hessf = 0$ , equation (1.5) reduces to  $Ric - \frac{1}{m}df \otimes df = \lambda g$ . From this, it follows that, if  $E$  is an eigenvector of the Ricci operator  $Q$ , then  $E$  is point-wise collinear with  $Df$ , because  $\lambda \neq 0$ . It also follows that  $N$  is Einstein and hence negatively Einstein, because  $r < 0$ . This completes the proof.

**Proof of Theorem 1.3** For an  $m$ -quasi Einstein manifold, we know that  $\lambda$  is constant. Also, as  $Df$  is affine Killing,  $divDf = constant$ , i.e.,  $\Delta f = constant$  (Yano [10], Pg. 45). Using this in (2.5) and (2.6) yields

$$(\Delta f)Df = 0.$$

Since  $M$  is non-trivial, we have  $\Delta f = 0$ . The rest of the proof follows from Theorem 1.2.

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## Author's contributions

All authors contributed equally to the writing of this paper. All authors read and approved the final manuscript.

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